



# Intelligent Reports for CRM2 An end-to-end solution

**Account Charges, Compensation and Performance**

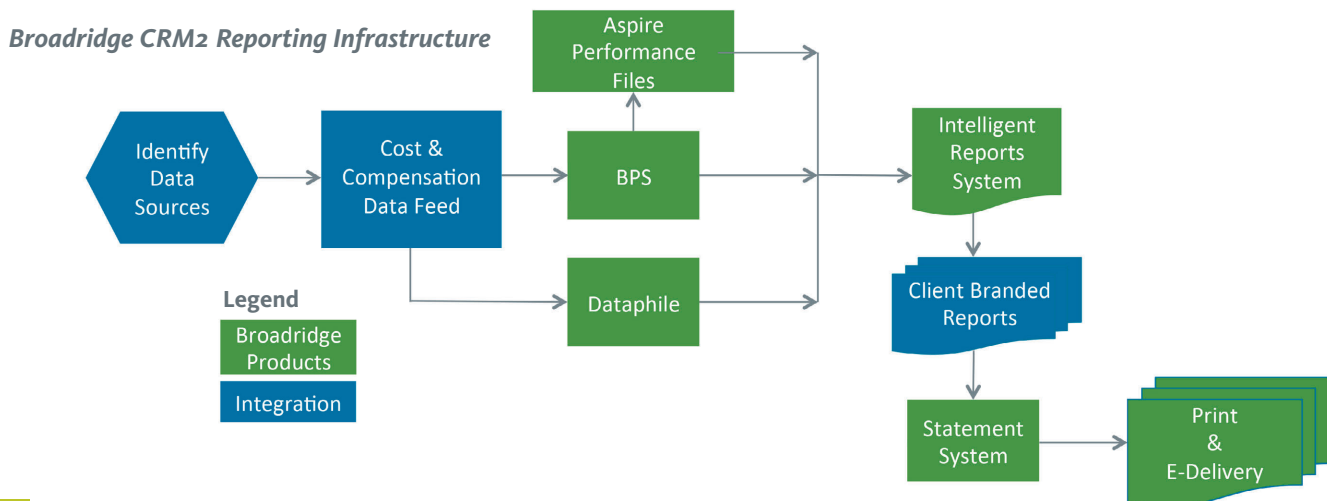
Broadridge's Intelligent Reports service allows investment brokers and dealers to create informative and effective reports. Our reports clearly display information for intuitive conversations between advisors and investors. They can be produced separately or be integrated into your firm's existing statement packages. Leveraging XML and other data formats, your firm's reports can use colour and contemporary design features, including clickable links to customized web content.

Broadridge is the only company with the full suite of products and services, and comprehensive access to data, required to produce timely, accurate CRM2 reports by the July 2016 deadline. We have engineered a workflow to collect third party data from investment fund companies and stock exchanges, which are aggregated with your proprietary data from your back office and performance systems. Our team will efficiently design the reports to support your firm's brand, and produce and deliver them according to each investor's delivery preference. As project management is key to success, our service includes a single point of contact to assist your firm in meeting project timelines.

Intelligent Reports are only one communication component that you can produce using our platform. We can also tailor our statement and reporting workflows and systems, almost limitlessly, to meet an investment firm's requirement to deliver an even more unique customer experience. Broadridge clients can even leverage our XML data structure to deliver enhanced statements that include personalization and one-to-one messaging.

### Choice of end-to-end solution or selected components

Dealers must analyze their current and required technology to determine which components they currently have, what they need to acquire or build, and how to configure the multiple interfaces. Broadridge has the expertise to tailor solutions for both the IROC and MFDA reporting structures. We can either provide a complete end-to-end solution or assist with implementing selected components.



*Continued overleaf*

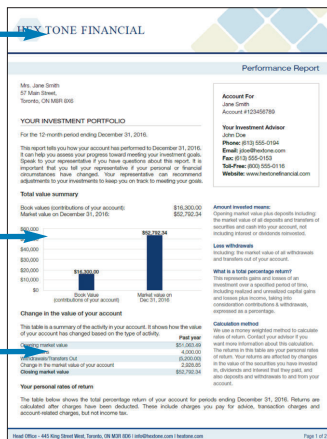


## Intelligent Reports – Intuitive and Timely

CLIENT COMMUNICATION TRANSFORMATION	
XML data file formats enable web-like documents	Supports data feeds from multiple sources
Opened and read by most recipients	Data conversion and optimization
Timely, relevant content	Secure data processing environment
Consistent cross-media branding	Document delivery according to investor preference
Project managed by Broadridge (Single point of contact)	Customized to business line and client segment
User friendly platform to meet the July 2016 deadline	Customized content to “tell your story”

## Contemporary, XML approach for print and e-delivery of CMR2 reports and statements

Corporate branding



Customized charts

CRM2 reporting



Broadridge offers a suite of products and services so you can create investor reports and statements that are intuitive, timely and cost effective.

Customized advisor information

Clickable links take investors directly to relevant web content

## Contact Us

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## About Broadridge

Broadridge's integrated solutions connect the global financial market and its participants through the timely, relevant exchange of information. Leveraging our first-to-market information technology solutions, we bring issuers, banks and brokerage firms, mutual fund managers, and institutional and retail investors together – interactively and cost-effectively.

Our Investor Communication Solutions division provides integrated solutions to brokers, dealers and mutual fund companies by delivering pre-sale, point-of-sale and post-sale communications to investors. Broadridge's Brokerage Processing Services division is the premier supplier of securities processing systems in Canada and a leader on the global stage.

By combining our leading technological infrastructure with our regulatory and investor relations expertise, we offer our clients solutions that are unparalleled in the industry. Broadridge connects more brokers, dealers and fund companies to their investors than anyone else. We invite you to learn more about our products and services, and how we can help make your business smarter.

Contact us today.



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