

C0. Introduction

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C0.1

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**(C0.1) Give a general description and introduction to your organization.**

Broadridge, a Delaware corporation and a part of the S&P 500® Index (“S&P”), is a global financial technology leader providing investor communications and technology-driven solutions to banks, broker-dealers, asset and wealth managers, public companies and mutual funds. With over 50 years of experience, including over 15 years as an independent public company, we provide integrated solutions and an important infrastructure that powers the financial services industry. Our solutions enable better financial lives by powering investing, governance and communications and help reduce the need for our clients to make significant capital investments in operations infrastructure, thereby allowing them to increase their focus on core business activities. We operate our business in two reportable segments: Investor Communication Solutions and Global Technology and Operations.

C0.2

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**(C0.2) State the start and end date of the year for which you are reporting data and indicate whether you will be providing emissions data for past reporting years.**

**Reporting year**

**Start date**

July 1 2022

**End date**

June 30 2023

**Indicate if you are providing emissions data for past reporting years**

No

**Select the number of past reporting years you will be providing Scope 1 emissions data for**

<Not Applicable>

**Select the number of past reporting years you will be providing Scope 2 emissions data for**

<Not Applicable>

**Select the number of past reporting years you will be providing Scope 3 emissions data for**

<Not Applicable>

C0.3

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**(C0.3) Select the countries/areas in which you operate.**

Australia  
Belgium  
Brazil  
Canada  
Czechia  
France  
Germany  
Hong Kong SAR, China  
India  
Ireland  
Italy  
Japan  
Netherlands  
Philippines  
Poland  
Romania  
Singapore  
Spain  
Sweden  
United Kingdom of Great Britain and Northern Ireland  
United States of America

C0.4

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**(C0.4) Select the currency used for all financial information disclosed throughout your response.**

USD

C0.5

(C0.5) Select the option that describes the reporting boundary for which climate-related impacts on your business are being reported. Note that this option should align with your chosen approach for consolidating your GHG inventory.  
Operational control

C0.8

(C0.8) Does your organization have an ISIN code or another unique identifier (e.g., Ticker, CUSIP, etc.)?

Indicate whether you are able to provide a unique identifier for your organization	Provide your unique identifier
Yes, a Ticker symbol	BR

C1. Governance

C1.1

(C1.1) Is there board-level oversight of climate-related issues within your organization?  
Yes

C1.1a

(C1.1a) Identify the position(s) (do not include any names) of the individual(s) on the board with responsibility for climate-related issues.

Position of individual or committee	Responsibilities for climate-related issues
Director on board	Currently, our Board of Directors (Board) and our Governance and Nominating Committee of our Board oversee Broadridge’s ongoing commitment to environmental, social, and governance matters relevant to Broadridge (ESG Matters). In addition, two of Broadridge’s board members has been deemed to have competence on climate-related issues. Our management-led ESG Committee reports to the Governance and Nominating Committee and full Board on ESG Matters. The ESG Committee also assists the senior management of Broadridge in (a) setting general strategy relating to ESG Matters, (b) developing, implementing, and monitoring initiatives and policies based on that strategy, (c) overseeing communications with associates, investors, and stakeholders with respect to ESG Matters, and (d) monitoring and assessing developments relating to, and improving Broadridge’s understanding, of ESG Matters. The ESG Committee is responsible for reviewing both internal and external targets, metrics, and goals related to ESG. For example, the ESG Committee is currently reviewing and developing a plan to reach net zero greenhouse gas emissions by the year 2050. In addition, the ESG Committee is also working to seek validation of near- and long-term emissions reduction targets by the Science Based Targets initiative by May 2024. We have also reviewed our climate-related initiatives and goals with the Governance and Nominating Committee of the Board and the full Board.

C1.1b

**(C1.1b) Provide further details on the board's oversight of climate-related issues.**

Frequency with which climate-related issues are a scheduled agenda item	Governance mechanisms into which climate-related issues are integrated	Scope of board-level oversight	Please explain
Scheduled – some meetings	Reviewing and guiding annual budgets Overseeing major capital expenditures Reviewing and guiding strategy Overseeing and guiding the development of a transition plan Monitoring the implementation of a transition plan Overseeing and guiding scenario analysis Overseeing the setting of corporate targets Monitoring progress towards corporate targets Reviewing and guiding the risk management process	<Not Applicable>	The Governance and Nominating Committee oversees risks related to ESG matters. One of the Governance and Nominating Committee's primary responsibilities (as outlined in its Charter, available at: <a href="https://www.broadridge-ir.com/governance/governance-documents/default.aspx">https://www.broadridge-ir.com/governance/governance-documents/default.aspx</a> ) is receiving reports from and advising management on the Company's sustainability strategy, policies and programs. Our Chief Legal Officer (CLO) reports on the activities of the Environmental, Social and Governance Committee (ESG Committee) to the Governance and Nominating Committee on a regular basis. In addition, the management-led ESG Committee and President provide annual ESG updates to the Governance and Nominating Committee and full Board.

**C1.1d**

**(C1.1d) Does your organization have at least one board member with competence on climate-related issues?**

	Board member(s) have competence on climate-related issues	Criteria used to assess competence of board member(s) on climate-related issues	Primary reason for no board-level competence on climate-related issues	Explain why your organization does not have at least one board member with competence on climate-related issues and any plans to address board-level competence in the future
Row 1	Yes	Annette Nazareth joined our Board in 2021, and serves as a member of the Audit Committee and Compensation Committee. She currently serves as the Chair of the Integrity Council for the Voluntary Carbon Market, having previously served as the Operating Lead of the predecessor effort, the Taskforce on Scaling Voluntary Carbon Markets. She is also a Senior Counsel of Davis Polk & Wardwell and previously headed the firm's Washington, D.C. office. Ms. Nazareth earlier served as SEC Commissioner from 2005 to 2008 and previously held several roles at the SEC, including Director, Division of Market Regulation (now the Division of Trading and Markets), Senior Counsel and Interim Director of the Division of Investment Management. She also previously held several senior positions at investment banks. Ms. Nazareth currently serves on several not-for-profit boards and the board of MoneyLion Inc., a public company.  Eileen K. Murray was appointed to our Board in 2022. She is a member of the Audit Committee and the Governance and Nominating Committee. Ms. Murray is the former Chair of the Board of Governors of the Financial Industry Regulatory Authority where she served from 2016 through 2022. She was the Co-Chief Executive Officer of Bridgewater Associates, LP ("Bridgewater"), one of the world's largest institutional asset managers, from 2009 to 2020. Prior to joining Bridgewater, she was the CEO of Investment Risk Management LLC and President and Co-CEO of Duff Capital Advisors. Ms. Murray began her professional career in 1984 at Morgan Stanley, where she held several senior positions from 1984 to 2002, including Controller, Treasurer, and Chief Accounting Officer, as well as Chief Operating Officer for the firm's Institutional Securities Group. From 2002 to 2005, she was a Managing Director at Credit Suisse and served on the firm's management board. Ms. Murray has also served as a director of the Business Council for International Understanding and The Depository Trust & Clearing Corporation and has been a director of the Irish Arts Center since 2016. She is also an advisor to many innovative technology and environmental solutions companies, including Invisible Urban Charging, an electric car charging company, Green Trust Partners, LLC, an ESG-focused real estate fund, and Consensusys, a blockchain technology company.	<Not Applicable>	<Not Applicable>

**C1.2**

**(C1.2) Provide the highest management-level position(s) or committee(s) with responsibility for climate-related issues.**

**Position or committee**

President

**Climate-related responsibilities of this position**

Assessing climate-related risks and opportunities  
Managing climate-related risks and opportunities

**Coverage of responsibilities**

<Not Applicable>

**Reporting line**

CEO reporting line

**Frequency of reporting to the board on climate-related issues via this reporting line**

Half-yearly

**Please explain**

Our ESG Committee is chaired by our President. The ESG Committee assists the senior management of Broadridge in (a) setting general strategy relating to ESG matters, (b) developing, implementing and monitoring initiatives and policies based on that strategy, (c) overseeing communications with associates, investors and stakeholders with respect to ESG matters, and (d) monitoring and assessing developments relating to, and improving Broadridge's understanding of, ESG matters. The ESG Committee and President provide annual ESG updates to the Governance and Nominating Committee and the full Board.

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**Position or committee**

General Counsel

**Climate-related responsibilities of this position**

Developing a climate transition plan  
Implementing a climate transition plan  
Integrating climate-related issues into the strategy  
Conducting climate-related scenario analysis  
Setting climate-related corporate targets  
Monitoring progress against climate-related corporate targets  
Managing public policy engagement that may impact the climate  
Assessing climate-related risks and opportunities  
Managing climate-related risks and opportunities

**Coverage of responsibilities**

<Not Applicable>

**Reporting line**

CEO reporting line

**Frequency of reporting to the board on climate-related issues via this reporting line**

Half-yearly

**Please explain**

Our CLO is a member of our ESG Committee. The ESG Committee assists the senior management of Broadridge in (a) setting general strategy relating to ESG matters, (b) developing, implementing and monitoring initiatives and policies based on that strategy, (c) overseeing communications with associates, investors and stakeholders with respect to ESG matters, and (d) monitoring and assessing developments relating to, and improving Broadridge's understanding of, ESG matters. The CLO provides regular ESG updates to the Governance and Nominating Committee. Our ESG Committee and President also annually report on the activities of the ESG Committee to the Governance and Nominating Committee and the full Board.

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**Position or committee**

Sustainability committee

**Climate-related responsibilities of this position**

Developing a climate transition plan  
Implementing a climate transition plan  
Integrating climate-related issues into the strategy  
Conducting climate-related scenario analysis  
Setting climate-related corporate targets  
Monitoring progress against climate-related corporate targets  
Managing public policy engagement that may impact the climate  
Assessing climate-related risks and opportunities  
Managing climate-related risks and opportunities

**Coverage of responsibilities**

<Not Applicable>

**Reporting line**

Corporate Sustainability/CSR reporting line

**Frequency of reporting to the board on climate-related issues via this reporting line**

Quarterly

**Please explain**

Our ESG Committee is chaired by our President. The ESG Committee assists the senior management of Broadridge in (a) setting general strategy relating to ESG matters, (b) developing, implementing and monitoring initiatives and policies based on that strategy, (c) overseeing communications with associates, investors and stakeholders with respect to ESG matters, and (d) monitoring and assessing developments relating to, and improving Broadridge's understanding of, ESG matters. The ESG Committee and President provide annual ESG updates to the Governance and Nominating Committee and the full Board.

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C1.3

**(C1.3) Do you provide incentives for the management of climate-related issues, including the attainment of targets?**

	Provide incentives for the management of climate-related issues	Comment
Row 1	Yes	<p>Broadridge provides behavior change-related incentives for employees at certain locations who carpool to work and promotes sustainable vehicles by providing on-premise EV charging stations.</p> <p>Our partnership with Carpoolworld.com allows associates to sign up and find a commuting buddy in their area to travel to and from Broadridge workplaces. Any U.S.-based Broadridge associate is eligible to partake in our ride-share program. Broadridge also subsidizes a vanpool program with Enterprise and offers free rideshare programs through 50 Corridor for associates in its El Dorado Hills, CA facility.</p> <p>Associates who ride-share are also eligible to register for our Travel Smart parking program. Our carpooling program reserves premium parking spots for registered associates. Environmentally friendly cars, as designated by New York State regulations, may also park in these coveted spaces as another incentive for sustainable travel.</p>

C1.3a

**(C1.3a) Provide further details on the incentives provided for the management of climate-related issues (do not include the names of individuals).**

**Entitled to incentive**

All employees

**Type of incentive**

Non-monetary reward

**Incentive(s)**

Other, please specify (Behavior change related indicator)

**Performance indicator(s)**

Implementation of employee awareness campaign or training program on climate-related issues

**Incentive plan(s) this incentive is linked to**

This position does not have an incentive plan

**Further details of incentive(s)**

Our partnership with Carpoolworld.com allows associates to sign up and find a commuting buddy in their area to travel to and from Broadridge workplaces. Any U.S.-based Broadridge associate is eligible to partake in our ride-share program. Broadridge also subsidizes a vanpool program with Enterprise and offers free rideshare programs through 50 Corridor for associates in its El Dorado Hills, CA facility. Ride sharing reduces fossil fuel emissions and traffic congestion while providing alternative daily commuting options. Associates who ride-share are also eligible to register for our Travel Smart parking program.

**Explain how this incentive contributes to the implementation of your organization’s climate commitments and/or climate transition plan**

Our best practices are cultivated in an environmentally conscious atmosphere. Our passionate associates promote education and awareness by providing peer engagement opportunities in environmental sustainability initiatives. In doing so, associates address environmental challenges that are important to our business, values and communities. These initiatives encourage responsible energy use and waste diversion in both our workplaces and our homes.

**Entitled to incentive**

Other, please specify (Edgewood, NY location associates)

**Type of incentive**

Non-monetary reward

**Incentive(s)**

Other, please specify (Behavior change related indicator)

**Performance indicator(s)**

Implementation of employee awareness campaign or training program on climate-related issues

**Incentive plan(s) this incentive is linked to**

This position does not have an incentive plan

**Further details of incentive(s)**

Our carpooling program reserves premium parking spots for registered associates. Environmentally friendly cars, as designated by New York State regulations, may also park in these coveted spaces.

**Explain how this incentive contributes to the implementation of your organization’s climate commitments and/or climate transition plan**

Our best practices are cultivated in an environmentally conscious atmosphere. Our passionate associates promote education and awareness by providing peer engagement opportunities in environmental sustainability initiatives. In doing so, associates address environmental challenges that are important to our business, values and communities. These initiatives encourage responsible energy use and waste diversion in both our workplaces and our homes.

C2. Risks and opportunities

C2.1

**(C2.1) Does your organization have a process for identifying, assessing, and responding to climate-related risks and opportunities?**

Yes

**C2.1a**

**(C2.1a) How does your organization define short-, medium- and long-term time horizons?**

	From (years)	To (years)	Comment
Short-term	0	3	
Medium-term	3	6	
Long-term	6	10	

**C2.1b**

**(C2.1b) How does your organization define substantive financial or strategic impact on your business?**

The responsibilities of the Board include oversight of the Company's risk management processes. The Board has two primary methods of overseeing risk. The first method is through the Company's Enterprise Risk Management (ERM) process which allows for full Board oversight of the most significant risks facing the Company. The second is through the functioning of the Board's committees to which the Board has delegated oversight responsibilities of specific areas of risk such as cybersecurity and human capital management based on their relevance to the subject matter of the committee. Management presents on the ERM program to the full Board annually and also provides the Board with quarterly updates on the Company's risk program and activities. In addition, at each quarterly Audit Committee meeting, management presents on the ERM program highlighting a key risk topic, including legal and compliance risks, client and strategy risks, and systems and operations risks.

Management established the ERM process to ensure a complete Company-wide approach to risk over five distinct but overlapping core areas:

- STRATEGIC: the risks that could impede the Company from achieving its strategic vision and goals
- FINANCIAL: the risks related to maintaining accurate financial statements, and timely and complete financial disclosures
- OPERATIONAL: the risks in the processes, people, and technology the Company employs to achieve its strategy, normal business operations and cybersecurity
- COMPLIANCE: the risks related to the Company's legal and regulatory compliance requirements and violations of laws
- REPUTATIONAL: the risks that impact the Company's reputation including failing to meet the expectations of its clients, investors, employees, regulators, or the public

In addition, Broadridge has an established and mature business continuity program where business units maintain comprehensive disaster recovery and business continuity plans, which ensure the timely and effective recovery of mission-critical business functions in the event of a disaster. Our business continuity plans have been developed based on industry best practices and guidelines of the Disaster Recovery Institute International; and our program is staffed with full-time, highly qualified disaster recovery and BCP professionals.

The foundation of our disaster recovery and business continuity strategy focuses on risk mitigation and disaster avoidance wherever possible. Our strategy includes power protection, facility security, and data and infrastructure backups. Our business continuity plans have been developed to:

- Provide an organized and consolidated approach to managing response and recovery activities following any unplanned incident or business interruption.
- Provide clear and time-sensitive communication to all stakeholders including our employees and our customers.
- Provide prompt and appropriate response to any unplanned incident, such as fire, regional disasters, pandemic, or loss of utilities services, thereby reducing the impacts resulting from short-term business interruptions.
- Recover essential business operations in a timely manner, increasing the ability of the company to recover from a damaging loss to a facility.
- Provide a smooth transition back to the primary production facility after the incident has been resolved.
- Provide disaster recovery planning that includes activities and plans to recover and restore the ongoing function of technology and infrastructure in the event of a disaster/disruptive event. Recovery includes voice and data networks, computer hardware, software systems, and applications.

Our Broadridge Crisis Management Team is comprised of cross-functional senior-level leaders who manage the overall response to an incident and provide centralized command and control in the event of a disaster/disruptive event. At Broadridge, business continuity planning is a continuous process, not a project. Testing and review of the plans with recovery team members are required annually and when material changes have been made to the plans. The BCP Program includes ongoing emergency planning with local, state, and federal authorities to ensure the safety of human life and to minimize disruption of service to our clients.

Our current ERM framework and BCP programs address applicable and material climate-related issues.

**C2.2**

**(C2.2) Describe your process(es) for identifying, assessing and responding to climate-related risks and opportunities.**

**Value chain stage(s) covered**

Direct operations  
Upstream  
Downstream

**Risk management process**

Integrated into multi-disciplinary company-wide risk management process

**Frequency of assessment**

More than once a year

**Time horizon(s) covered**

Short-term  
Medium-term  
Long-term

**Description of process**

Broadridge approaches climate-related risks and opportunities in the same way that it addresses other risks and opportunities. From a risk perspective, we annually assess our risks in the context of producing our risk factor disclosures, our ERM program and our BCP programs. From an opportunity perspective, our business leaders are constantly looking for opportunities that can help us grow our business, further our ESG goals, and address the interests of our key stakeholders (associates, clients, investors, and the communities in which we operate).

We have an ERM program that annually assesses our enterprise risks. This program is overseen by our risk committee comprised of senior executives, including our President, Chief Financial Officer, Chief Legal Officer, Corporate Secretary, Chief Compliance Officer, and includes representatives of various functions across the Company. It is led by an ERM Program Manager who leads a review of the top risks across the enterprise. The goal of the ERM process is to provide an ongoing procedure, effected at all levels of the Company across each business unit and corporate function, to identify and assess risk, monitor risk, and agree on mitigating action. As part of this annual review, the management-led risk committee considers what the material risks are for the Company, and ranks those risks relative to each other. Risks relating to business interruption risks due to the impact of climate change and emerging regulations are subject to this annual process, in the context of the Company's top risks. In addition to the ERM process, we had conducted a risk materiality analysis and specifically considered climate risks in the context of an assessment of our material ESG issues. That process involved asking all of the members of our Executive Leadership Team and business and functional leaders to help identify the top risks and opportunities across the Company, based on a range of more than 25 topics.

Much like risks, any climate opportunities are incorporated into our regular course process of assessing business opportunities. Specifically, our business leaders are continuously looking for opportunities to grow our business, reduce costs and serve the needs of our stakeholders. We are well positioned to lead in the connection between digital-first products and environmental sustainability. Our ever-expanding innovative products help our clients lower their environmental footprints within the traditional "take, make, and throw away" model in order to reduce waste and mitigate GHG emissions. Our Investor Communication Solutions business identified a number of climate and ESG-related opportunities, including specific opportunities to help public companies, mutual funds, and investors assess and address climate-related risks and opportunities. For public companies, for example, we have introduced a number of products as a result of this assessment. These products include our Virtual Shareholder Meeting solutions, our ESG consulting services, and our ESG Analyzer disclosure database, to name a few. Each of these products was developed following an assessment by the business of the specific needs of public companies and mutual funds as well as investors with respect to climate and other ESG matters.

We also offer numerous e-delivery products that replace our paper communications as well as suppression methods that decrease mailed paper volumes. For example, one of our major efforts has been to reduce the use of paper for communications by public companies and mutual funds. We are also researching and developing other products and services that increase levels of efficiency and sustainability.

Broadridge also monitors applicable regulations and disclosure requirements, such as the proposed SEC climate disclosure rules. Our facilities and regulatory teams also assess compliance with regulations applicable to our facilities and monitor potential state climate-related reporting and transparency requirements. We continuously look to improve our operational efficiencies while also reducing our carbon footprint through our responsible and eco-friendly supply chain.

The Governance and Nominating Committee of our Board oversees Broadridge's ongoing commitment to ESG matters relevant to Broadridge. In addition, our ESG Committee assists the senior management of Broadridge in (a) setting general strategy relating to ESG matters, (b) developing, implementing and monitoring initiatives and policies based on that strategy, (c) overseeing communications with associates, investors, and stakeholders with respect to ESG matters, and (d) monitoring and assessing developments relating to, and improving Broadridge's understanding of, ESG matters. Our Chief Legal Officer reports on the activities of the ESG Committee to the Governance and Nominating Committee regularly. In addition, the ESG Committee and President provide annual ESG updates to the Governance and Nominating Committee and the full Board.

See also our response to C2.1b for more detail regarding our ERM framework and BCP programs.

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**C2.2a**

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**(C2.2a) Which risk types are considered in your organization's climate-related risk assessments?**

	Relevance & inclusion	Please explain
Current regulation	Relevant, always included	Risks related to compliance with facility-based regulations are current regulations on Broadridge's radar. As such, our VP, Facilities and Real Estate and local office managers monitor and manage compliance of our North American facilities with applicable environmental laws, including wastewater management, diesel fuel and air emissions, and OSHA standards for air and water quality.  Our facility located in Markham, Ontario has been subject to a Canadian federal carbon tax since 2019. The carbon charges apply to fossil fuels which includes gasoline and natural gas.  Our offices and operations located in the United Kingdom are subject to the Energy Savings Opportunity Scheme, Streamlined Energy and Carbon Reporting and a Climate Change Levy.
Emerging regulation	Relevant, always included	Broadridge has governance processes in place monitoring all SEC regulations and disclosure requirements, and we are aware of the SEC's proposed climate related disclosure rules and expected date of final rules adoption in October 2023. Once the final rules are adopted, we will work on preparing our disclosures.  In addition, states including New York, where our Edgewood facilities are located, may adopt carbon reduction goals that could apply to our businesses. This could result in additional taxes, higher costs, and potential fines for our businesses if we do not adhere to applicable state regulations.
Technology	Relevant, always included	A consulting firm has worked with us to institute energy management systems to regulate the temperatures in our buildings and ensure that our HVAC systems operate efficiently. Now we pre-set parameters and institute program setbacks so that our systems power down or the heat/AC turns off in a building during certain times of the day or year. In the last several years we have replaced energy intensive HVAC units with high-efficiency HVAC units in all of our North American facilities.  In recent years, we replaced nearly all of our continuous form toner-based printers with more energy-efficient inkjet printers. Because inkjet printers have significantly more capacity than toner-based printers, we are able to produce more output in a smaller footprint. The inkjet printers also use substantially less energy than toner-based printers. As a result of the use of inkjet technology, we now require less air conditioning capacity to cool the print rooms.  Most of our production printers use dye-based ink which requires less drying than other similar inks, such as pigment. As drying is the most energy intensive process, the use of dye-based ink requiring less drying results in decreased energy use in the drying process. At Broadridge, we also work with our printer manufacturers to buy bulk ink in drums and pallet sized totes to minimize packaging waste and shipping which also helps us minimize our carbon footprint.
Legal	Relevant, always included	Increased compliance costs, reporting requirements, claims, and litigation could have an impact on Broadridge. We currently do not have any material environmental-related litigation and do not anticipate any in the near future.
Market	Relevant, always included	We are well positioned to lead in the connection between digital-first communications solutions and environmental sustainability. Our ever-expanding innovative products help our clients lower their environmental footprints within the traditional "take, make, and throw away" model in order to reduce waste and mitigate GHG emissions. We offer numerous e-delivery products that replace our paper communications as well as suppression methods that decrease mailed paper volumes. Our solutions, such as Virtual Shareholder Meetings, Digital Proxy, Regulatory Mutual Fund Communications, and the Broadridge Customer Communications Cloud, and digitization of processes resulting from shifts in ways of working, promote sustainability within the linear supply chain model by reducing resource input and waste output.
Reputation	Relevant, always included	We continue to focus on enhancing our climate performance and disclosure. We are developing a plan to reach net zero greenhouse gas (GHG) emissions by the year 2050. We are planning to set a date at which we will achieve this goal, including a near-term target validated by the Science Based Target initiative (SBTi), backed by a comprehensive approach to develop detailed emission reduction roadmaps. Importantly, Broadridge's targets will go beyond Scopes 1 and 2 emissions to include its largest indirect Scope 3 emissions. In addition, we have disclosed our climate-related risks and opportunities in this report and obtained independent third-party assurance of our Scope 1, 2, and top Scope 3 emissions as shown in section C10 Verification, below.
Acute physical	Relevant, always included	Acute physical risk is continually relevant and included in our risk analysis due to potential disruption to operations from climate-related incidents. Per the Intergovernmental Panel on Climate Change (IPCC), hurricanes in parts of the Northeast U.S. and Canada, and wildfires and droughts in California are possible climate-driven physical risks. These risks may result in business or operational interruptions.  Our large Indian offices are located in Bangalore and Hyderabad, monsoon-prone areas. In the case of heavy rain and surface winds, there may be water logging or seepage that could damage our Indian offices and related infrastructure. Our Edgewood offices and printing facilities are located in areas which may be subject to hurricanes and wildfires.  Due to the possibility of these acute climate events, we have robust business continuity planning programs in place. Our business units have established and maintain comprehensive disaster recovery and business continuity planning programs, which ensure the timely and effective recovery of mission-critical business functions in the event of a disaster. Our business continuity plans have been developed based on guidelines of the Disaster Recovery Institute International, and our program is staffed with full-time, highly qualified disaster recovery and business continuity planning professionals.
Chronic physical	Relevant, always included	Global warming is a chronic physical risk affecting our properties globally. Our Indian offices and certain North American facilities are subject to water stress and certain of our US and Canadian facilities are also subject to risks resulting from a rise in sea level and rise in temperatures. These chronic physical risks can result in increased running hours of generators and HVAC systems which may lead to high diesel, power, and water consumption. These physical risks can also create health and safety risks for our employees.

**C2.3**

**(C2.3) Have you identified any inherent climate-related risks with the potential to have a substantive financial or strategic impact on your business?**

Yes

**C2.3a**

**(C2.3a) Provide details of risks identified with the potential to have a substantive financial or strategic impact on your business.**

**Identifier**

Risk 1

**Where in the value chain does the risk driver occur?**

Direct operations

**Risk type & Primary climate-related risk driver**

Acute physical	Cyclone, hurricane, typhoon
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**Primary potential financial impact**

Increased indirect (operating) costs

**Climate risk type mapped to traditional financial services industry risk classification**

<Not Applicable>

**Company-specific description**

Our large Indian offices are located in Bangalore and Hyderabad, monsoon-prone areas. In the case of heavy rain and surface winds, there may be water logging or



seepage as well as prolonged grid power outages that could damage our Indian offices, related infrastructure and utilities systems. We believe Broadridge's backup diesel generators at our India facilities are sufficient to address this risk.

**Time horizon**

Long-term

**Likelihood**

Unlikely

**Magnitude of impact**

Low

**Are you able to provide a potential financial impact figure?**

Yes, an estimated range

**Potential financial impact figure (currency)**

<Not Applicable>

**Potential financial impact figure – minimum (currency)**

0

**Potential financial impact figure – maximum (currency)**

2369400

**Explanation of financial impact figure**

Damage to the utilities system such as chillers, diesel generators and building infrastructure and prolonged state grid power outage may be experienced. Repair and maintenance for office infrastructure might include seepage arrest, overhauling of utility machines, water logging clearance with help of sump pump, additional housekeeping manpower, water leakage treatment, cleaning of drainage system and restoration of damaged infrastructure.

If such events occur, we may experience damage to our infrastructure as well business and operational interruptions due to power outages. Fiscal year 2023 data across our Bangalore and Hyderabad offices showed diesel fuel backup generator annual consumption costs at approximately \$2,338,000 with periodically purchased and stored diesel for this same period costing \$21,500. Bangalore and Hyderabad's maintenance annual generator costs for this same period reached over \$9,900. Preventative maintenance costs might include checking oil and inspecting potential oil leaks, filter changes, test runs, battery and engine checks and other tasks. We have estimated the annual cost to run back-up diesel generators in the event of an acute climate impact at up to \$2,369,400 based on diesel backup generator consumption and operation, diesel fuel purchases and preventative maintenance costs across fiscal year 2023. This will vary based on the number of days of outages, diesel fuel costs and generator leakages or other events.

**Cost of response to risk**

0

**Description of response and explanation of cost calculation**

We believe Broadridge backup diesel generators at our India facilities are sufficient to address this risk. As these generators are already in place, at this time, we do not believe that any additional costs affiliated with the response to this risk are needed.

**Comment**

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**Identifier**

Risk 2

**Where in the value chain does the risk driver occur?**

Direct operations

**Risk type & Primary climate-related risk driver**

Acute physical	Cyclone, hurricane, typhoon
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**Primary potential financial impact**

Increased indirect (operating) costs

**Climate risk type mapped to traditional financial services industry risk classification**

<Not Applicable>

**Company-specific description**

Hurricanes, fires, snowstorms and flooding, although rare, can pose a potential risk to operations at our Edgewood, NY location. Historically, very few hurricane warnings materialized into actual hurricanes. Occasional fires occur in surrounding areas which have created health risks. Snowstorms can impact commuting and transit routes. The Edgewood site may also be subject to flooding. None of the above have had a material impact on our Edgewood operations. On occasion, installed flood pumps at our Edgewood site are used to address flood risks and heavy rainfall. These pumps are already built into the cost of operations.

**Time horizon**

Long-term

**Likelihood**

Unlikely

**Magnitude of impact**

Low

**Are you able to provide a potential financial impact figure?**

Yes, an estimated range

**Potential financial impact figure (currency)**

<Not Applicable>

**Potential financial impact figure – minimum (currency)**

0

**Potential financial impact figure – maximum (currency)**

115000

**Explanation of financial impact figure**

If such events occur, we may experience damage to our infrastructure as well business and operational interruptions due to power outages. Fiscal year 2023 data across our three Edgewood facilities/offices showed diesel fuel backup generator fuel costs averaging \$6.36 per gallon and we purchased approximately 11,400 gallons of diesel fuel. We ran our back-up generators for 18 hours due to unplanned utility outages this fiscal year. Edgewood's preventative maintenance annual generator costs for this same period reached over \$46,000. Preventative maintenance costs might include checking oil and inspecting potential oil leaks, filter changes, test runs, battery and engine checks and other tasks. We have estimated the annual cost to run back-up diesel generators in the event of an acute climate impact at up to \$115,000 based on both diesel backup generator diesel fuel purchases and preventative maintenance costs across fiscal year 2023. This will vary based on the number of days of outages, diesel fuel costs and generator leakages or other events.

**Cost of response to risk**

0

**Description of response and explanation of cost calculation**

We believe Broadridge backup generators and flood pumps at our Edgewood facilities are sufficient to address this risk. As these generators are already in place, at this time, we do not believe that any additional costs affiliated with the response to this risk are needed.

**Comment**

**Identifier**

Risk 3

**Where in the value chain does the risk driver occur?**

Direct operations

**Risk type & Primary climate-related risk driver**

Acute physical	Drought
----------------	---------

**Primary potential financial impact**

Increased indirect (operating) costs

**Climate risk type mapped to traditional financial services industry risk classification**

<Not Applicable>

**Company-specific description**

Broadridge has a large printing production facility in El Dorado County, CA located within 90 minutes of the El Dorado National Forest where wildfires have occurred due to droughts. Prolonged fire seasons (May-November) due to California droughts create drier conditions and increase the risk of County/State fires and poor air quality due to smoke contamination. As a result of poor air quality, risks include temporary staffing reductions and operating expense increases for building maintenance (e.g. HVAC equipment increased filter changes).

According to the National Integrated Drought Information System (NIDIS) a continued focus on water conservation measures and fire prevention efforts will need to be maintained. California has experienced a severe 3-year drought period, with the majority of 2022 being rated a Severe Drought condition and 45% of El Dorado County at stage D3-Extreme Drought conditions. We are expecting state-wide water conservation efforts along with expected increased operating expenses due to higher water costs.

**Time horizon**

Long-term

**Likelihood**

Unlikely

**Magnitude of impact**

Low

**Are you able to provide a potential financial impact figure?**

Yes, an estimated range

**Potential financial impact figure (currency)**

<Not Applicable>

**Potential financial impact figure – minimum (currency)**

0

**Potential financial impact figure – maximum (currency)**

35000

**Explanation of financial impact figure**

If such events occur, we may experience damage to our infrastructure as well business and operational interruptions due to power outages. Fiscal year 2023 data for our El Dorado Hills facility showed diesel fuel backup generator fuel costs averaging \$4.75 per gallon and we purchased approximately 3,800 gallons of diesel fuel. We ran our back-up generators for 3 hours due to unplanned utility outages this fiscal year. El Dorado Hill's preventative maintenance annual generator costs for this same period reached over \$17,300. Preventative maintenance costs might include checking oil and inspecting potential oil leaks, filter changes, test runs, battery and engine checks and other tasks. We have estimated the annual cost to run back-up diesel generators in the event of an acute climate impact at up to \$35,000 based on both diesel backup generator diesel fuel purchases and preventative maintenance costs across fiscal year 2023. This will vary based on the number of days of outages, diesel fuel costs and generator leakages or other events.

**Cost of response to risk**

0

**Description of response and explanation of cost calculation**

Prevention efforts we have put in place at our El Dorado Hills facility include 24x7 on-site security and external cameras for visual inspections, complete building fire systems with fire pumps for warehouse paper storage tested per NFPA 25 guidelines, ongoing communication with the local fire department, landscape fire break maintenance, and an emergency response program. Hydrants are onsite with a local fire station 1 mile from the facility. The El Dorado Hills Fire District enforces the California state law which extends the defensible space clearance around homes and structures from 30 feet to 100 feet. Broadridge maintains the required defensible clearance space around the facility's property line. Our continued participation in annual testing of Broadridge's business continuity plan with tabletop exercises and joint site exercises also increases our effective risk response.

**Comment**

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**Identifier**

Risk 4

**Where in the value chain does the risk driver occur?**

Direct operations

**Risk type & Primary climate-related risk driver**

Acute physical	Heavy precipitation (rain, hail, snow/ice)
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**Primary potential financial impact**

Increased indirect (operating) costs

**Climate risk type mapped to traditional financial services industry risk classification**

<Not Applicable>

**Company-specific description**

Broadridge's Markham, Canada facility is subject to extreme snow, ice and windstorms which can lead to power outages. However, storms are infrequent and have not yet had a material impact on our operations. In the event of an extreme weather event in which we'd lose power for a prolonged period of time we have dual power grid feeds, two 400 kilo-volt-amperes (kVA) uninterruptible power supply (UPS) units and three back-up generators in place to maintain business as usual activities.

**Time horizon**

Long-term

**Likelihood**

Unlikely

**Magnitude of impact**

Low

**Are you able to provide a potential financial impact figure?**

Yes, an estimated range

**Potential financial impact figure (currency)**

<Not Applicable>

**Potential financial impact figure – minimum (currency)**

0

**Potential financial impact figure – maximum (currency)**

4500

**Explanation of financial impact figure**

If such events occur, we may experience damage to our infrastructure as well business and operational interruptions due to power outages. For fiscal year 2023, we did not purchase diesel fuel for our Markham facility as we did not run Markham's backup generators other than for preventative maintenance purposes. Markham's preventative maintenance annual generator costs for this period reached over \$4,500. Preventative maintenance costs might include checking oil and inspecting potential oil leaks, filter changes, test runs, battery and engine checks and other tasks. We have estimated the annual cost to run back-up diesel generators in the event of an acute climate impact at up to \$4,500 based on preventative maintenance costs across fiscal year 2023. This will vary based on the number of days of outages, diesel fuel costs and generator leakages or other events.

**Cost of response to risk**

0

**Description of response and explanation of cost calculation**

We believe Broadridge's dual power grid feeds, two 400 kilo-volt-amperes (kVA) uninterruptible power supply (UPS) units and three back-up generators at our Markham facility are sufficient to address this risk. As these systems are already in place, at this time, we do not believe that any additional costs affiliated with the response to this risk are needed.

**Comment**

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C2.4

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**(C2.4) Have you identified any climate-related opportunities with the potential to have a substantive financial or strategic impact on your business?**

Yes

C2.4a

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**(C2.4a) Provide details of opportunities identified with the potential to have a substantive financial or strategic impact on your business.**

**Identifier**

Opp1

**Where in the value chain does the opportunity occur?**

Downstream

**Opportunity type**

Products and services

**Primary climate-related opportunity driver**

Development and/or expansion of low emission goods and services

**Primary potential financial impact**

Other, please specify (We believe our electronic delivery products and other solutions that replace paper communications are an important resource and solution for clients focused on environmental sustainability.)

**Company-specific description**

Broadridge technologies and processing — with and on behalf of broker-dealers, custodian banks, public companies, and fund companies — drove digital proxy delivery during our 2023 fiscal year. As a result, public companies and funds saved an estimated \$1.4 billion over the 2023 fiscal year on printing and postage costs in connection with our digital proxy and alternative delivery services. This assumed that 77% of the suppressed mailings were notice mailings versus full package mailings based on proxy distributions data processed in the US for fiscal year 2022 and based on average USPS standard mail delivery rates for all mailings for fiscal year 2023. This reduced the environmental impact of printing and mailing hard copy communications and drove down Scope 3 GHG emissions (covering indirect emissions over the proxy delivery supply chain). We estimate that our digital proxy and alternative delivery services (excluding householding suppressions) have resulted in annual CO2e savings of 397,558 metric tons for the fiscal year 2023 across our US-based deliveries.

**Time horizon**

Short-term

**Likelihood**

Likely

**Magnitude of impact**

High

**Are you able to provide a potential financial impact figure?**

Yes, a single figure estimate

**Potential financial impact figure (currency)**

1400000000

**Potential financial impact figure – minimum (currency)**

<Not Applicable>

**Potential financial impact figure – maximum (currency)**

<Not Applicable>

**Explanation of financial impact figure**

Broadridge technologies and processing — with and on behalf of broker-dealers, custodian banks, public companies, and fund companies — drove digital proxy delivery to a new high during our 2023 fiscal year. As a result, public companies and funds saved an estimated \$1.4 billion over the 2023 fiscal year on printing and postage costs in connection with our digital proxy and alternative delivery services. This assumed that 77% of the suppressed mailings were notice mailings versus full package mailings based on proxy distributions data processed in the US for fiscal year 2022 and based on average USPS standard mail delivery rates for all mailings for fiscal year 2023. Please see our 2022 Proxy Season Key Statistics and Performance Rating document here [https://www.broadridge.com/\\_assets/pdf/broadridge-proxy-season-stats-2022.pdf](https://www.broadridge.com/_assets/pdf/broadridge-proxy-season-stats-2022.pdf), for additional information. We expect issuers and funds to have similar savings for the 2023 Proxy Season.

**Cost to realize opportunity**

0

**Strategy to realize opportunity and explanation of cost calculation**

The cost is absorbed into business-as-usual activities.

**Comment**

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**C3. Business Strategy**

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**C3.1**

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**(C3.1) Does your organization's strategy include a climate transition plan that aligns with a 1.5°C world?**

**Row 1**

**Climate transition plan**

No, but our strategy has been influenced by climate-related risks and opportunities, and we are developing a climate transition plan within two years

**Publicly available climate transition plan**

<Not Applicable>

**Mechanism by which feedback is collected from shareholders on your climate transition plan**

<Not Applicable>

**Description of feedback mechanism**

<Not Applicable>

**Frequency of feedback collection**

<Not Applicable>

**Attach any relevant documents which detail your climate transition plan (optional)**

<Not Applicable>

**Explain why your organization does not have a climate transition plan that aligns with a 1.5°C world and any plans to develop one in the future**

As part of our long-standing commitment to corporate sustainability, Broadridge is developing a plan to reach net zero greenhouse gas (GHG) emissions by the year 2050. Broadridge is planning to set a date at which it will achieve this goal, including a near-term target validated by the Science Based Target initiative (SBTi), backed by a comprehensive approach to develop detailed emission reduction roadmaps.

**Explain why climate-related risks and opportunities have not influenced your strategy**

<Not Applicable>

**C3.2**

**(C3.2) Does your organization use climate-related scenario analysis to inform its strategy?**

	Use of climate-related scenario analysis to inform strategy	Primary reason why your organization does not use climate-related scenario analysis to inform its strategy	Explain why your organization does not use climate-related scenario analysis to inform its strategy and any plans to use it in the future
Row 1	Yes, qualitative and quantitative	<Not Applicable>	<Not Applicable>

**C3.2a**

**(C3.2a) Provide details of your organization's use of climate-related scenario analysis.**

Climate-related scenario	Scenario analysis coverage	Temperature alignment of scenario	Parameters, assumptions, analytical choices
Physical climate scenarios RCP 8.5	Facility	<Not Applicable>	Broadridge Financial Solutions, Inc. has utilized climate-related scenario analysis to assess physical and transition risks to certain company locations using the following scenarios: Physical risks associated with a slow, weak transition. Warming of 2.6-4.8°C by 2100 based on the Intergovernmental Panel on Climate Change (IPCC) "business as usual" scenario (RCP8.5). Represents a world with stable economic development and steadily rising global carbon emissions, with CO2 concentrations reaching ~1370 ppm by 2100 and global mean temperatures increasing by 2.6–4.8°C relative to 1986–2005 levels. As well as transition risks associated with Warming of 1.5°C by 2100. Based on the International Energy Agency (IEA) Net Zero Emissions by 2050 Scenario (NZE). Represents a pathway to achieve net zero emissions by 2050 from the perspective of the global energy system, considering supply and use of energy across regions and sectors.  We also have an ERM program that annually assesses our enterprise risks. As part of this annual review, the management-led risk committee considers what the material risks are for the Company. Risks relating to business interruption due to the impact of climate change and emerging regulations are subject to this annual process, in the context of the Company's top risks. In addition to the ERM process, we had conducted a risk materiality analysis and specifically considered climate risks in the context of an assessment of our material ESG issues.
Transition scenarios IEA NZE 2050	Facility	<Not Applicable>	Broadridge Financial Solutions, Inc. has utilized climate-related scenario analysis to assess physical and transition risks to certain company locations using the following scenarios: Physical risks associated with a slow, weak transition. Warming of 2.6-4.8°C by 2100 based on the Intergovernmental Panel on Climate Change (IPCC) "business as usual" scenario (RCP8.5). Represents a world with stable economic development and steadily rising global carbon emissions, with CO2 concentrations reaching ~1370 ppm by 2100 and global mean temperatures increasing by 2.6–4.8°C relative to 1986–2005 levels. As well as transition risks associated with Warming of 1.5°C by 2100. Based on the International Energy Agency (IEA) Net Zero Emissions by 2050 Scenario (NZE). Represents a pathway to achieve net zero emissions by 2050 from the perspective of the global energy system, considering supply and use of energy across regions and sectors. This is the second year we have undergone this exercise. We have integrated material and applicable climate risk assessment into our ERM Framework and our BCP programs.

**C3.2b**

**(C3.2b) Provide details of the focal questions your organization seeks to address by using climate-related scenario analysis, and summarize the results with respect to these questions.**

**Row 1**

**Focal questions**

- What are the most significant climate risks and opportunities facing Broadridge from a transition risk standpoint?
- What are the most significant climate risks facing Broadridge from a physical risk standpoint?
- Are there any climate risks that are being overlooked in current planning processes?
- How can we continually improve our risk management process to further incorporate climate risk?
- How can we best incorporate climate risk into broader strategy?

**Results of the climate-related scenario analysis with respect to the focal questions**

As a result of our climate scenario analysis, we have improved our assessment of potential climate-related impacts and risks, in particular, the potential financial impacts to our India offices and North American facilities, due to adverse weather events in the future. With our analysis, we have been able to engage with our sites to bring an elevated awareness and understanding about potential impacts.

Additionally, our analysis has been able to identify potential opportunities. We pride ourselves in pursuing opportunities for economic growth that simultaneously align with doing good for the environment. Our biggest opportunity to lower greenhouse gas (GHG) emissions is through the services we perform on behalf of our clients—by driving digitization and reducing paper mailing materials. We are committed to helping our clients through our smart supply-chain management, while also improving our own energy consumption and waste production behaviors.

**C3.3**

**(C3.3) Describe where and how climate-related risks and opportunities have influenced your strategy.**

	Have climate-related risks and opportunities influenced your strategy in this area?	Description of influence
Products and services	Yes	<p>We are well positioned to lead in the connection between digital-first products and environmental sustainability. Our products help our clients lower their environmental footprints. Broadridge is committed to continue making significant, ongoing investments in technology to increase our levels of efficiency and sustainability and also to provide services that assist our clients on their sustainability journeys.</p> <ul style="list-style-type: none"> <li>• Our web-based and mobile app proxy voting solutions enable shareholders to vote from any device securely and quickly. We are continuing to drive digitization with our proxy materials preference management solutions. For the 2023 proxy season we drove digital proxy delivery to a new high. This reduced the environmental impact of printing and mailing hard copy communications and drove down Scope 3 GHG emissions (covering indirect emissions over the proxy delivery supply chain).</li> <li>• Virtual shareholder meetings (VSMs) enable more accessible company and shareholder meetings and make shareholder participation easier. VSMs reduce meeting costs, including travel and security costs. They also reduce GHG emissions resulting from travel in connection with in-person meetings.</li> <li>• Leveraging proprietary technology, data-driven fulfilment, and a central regulatory library, Broadridge helps financial services firms and mutual funds simplify investor disclosure and delivery obligations. Our suite of paper elimination services includes capabilities to deliver electronically and eliminate duplicate distribution of regulatory communications such as annual reports, semi-annual reports and prospectuses.</li> <li>• The Broadridge Communications Cloud<sup>SM</sup> is an end-to-end communications and customer engagement platform for creating, delivering, and managing essential communications. This solution connects to the digital channels that consumers use daily and leverages a high-scale, high-performance print-and-mail network. We actively partner with our clients to help transition consumers from print to digital, while amplifying the value of their digital communications experiences and reducing their carbon footprint. Our digital communications engage customers, turning static communications into dynamic and personalized experiences.</li> </ul>
Supply chain and/or value chain	Yes	<p>We have a Vendor Code of Conduct (available at <a href="https://www.broadridge.com/legal/vendor-code-of-conduct">https://www.broadridge.com/legal/vendor-code-of-conduct</a>) and we use commercially reasonable efforts to include it in every vendor contract. Such code reflects the minimum standards by which we expect all our vendors to follow in providing goods and/or services to Broadridge, and states the following: "Broadridge expects Vendor to support sound environmental management principles and reduce Vendor's impact on the environment within which Vendor operates. Vendor must comply with all laws relating to the protection of the environment which relate to Vendor's business. Vendor must also have a written sustainability/environmental policy appropriate to the size and nature of Vendor's operations." The Vendor Code of Conduct is a key part of implementing our strategy to select vendors with sound environmental management principles.</p>
Investment in R&D	Yes	<p>We have climate-related advisory services for our clients including: GHG assessments, water use assessments, waste/recycling assessments, advisory services regarding internal environmental tracking tools, and support services for climate-related disclosure.</p>
Operations	Yes	<p>Our Board of Directors (Board) and our Governance and Nominating Committee of our Board oversee Broadridge's ongoing commitment to environmental, social, and governance matters relevant to Broadridge (ESG Matters). In addition, Ms. Annette Nazareth and Ms. Eileen Murray, who are members of our Board, have been deemed to have competence on climate-related issues. Our management-led ESG Committee reports to the Governance and Nominating Committee on ESG Matters. The ESG Committee also assists the senior management of Broadridge in (a) setting general strategy relating to ESG Matters, (b) developing, implementing, and monitoring initiatives and policies based on that strategy, (c) overseeing communications with associates, investors, and stakeholders with respect to ESG Matters, and (d) monitoring and assessing developments relating to, and improving Broadridge's understanding, of ESG Matters. The ESG Committee is responsible for reviewing both internal and external targets, metrics, and goals set and proposed by its respective subcommittees. We have also reviewed our climate-related initiatives and goals with the Governance and Nominating Committee of the Board and the full Board.</p> <p>As part of our long-standing commitment to corporate sustainability, Broadridge is developing a plan to reach net zero greenhouse gas (GHG) emissions by the year 2050. Broadridge is planning to set a date at which it will achieve this goal, including a near-term target validated by the Science Based Target initiative (SBTi), backed by a comprehensive approach to develop detailed emission reduction roadmaps. We have committed to seek validation of our Net Zero target by the Science Based Targets initiative in the next 2 years.</p>

**C3.4**

**(C3.4) Describe where and how climate-related risks and opportunities have influenced your financial planning.**

	Financial planning elements that have been influenced	Description of influence
Row 1	Revenues Indirect costs	Climate-related risks and opportunities have impacted our revenues and indirect costs. Demand for our carbon-friendly services, such as our Digital Proxy Solutions, Virtual Shareholder Meetings, Regulatory Mutual Fund Communications, and our Broadridge Customer Communications Cloud directly impacts our revenues.  Our corporate energy efficiency programs and renewable energy investments have impacted our indirect costs. According to the United Nations, if people worldwide switched to energy-efficient light bulbs, it would save \$120 billion annually. We have installed or will be installing (time horizon = short- to medium-term) LED retrofit lighting at most of Broadridge's major U.S. production sites. In our Edgewood, New York, facility alone, the lighting program saved an estimated \$172,052 annually and eliminated 5,988 T8 parabolic 3-bulb fixtures and replaced them with LED fixtures, thus reducing both energy usage and eliminating mercury waste from fluorescent bulbs. Our eco-friendly lighting initiative also reduced an estimated 508 metric tons of CO2e emissions annually at the site.

**C3.5**

**(C3.5) In your organization's financial accounting, do you identify spending/revenue that is aligned with your organization's climate transition?**

	Identification of spending/revenue that is aligned with your organization's climate transition	Indicate the level at which you identify the alignment of your spending/revenue with a sustainable finance taxonomy
Row 1	No, but we plan to in the next two years	<Not Applicable>

**C4. Targets and performance**

**C4.1**

**(C4.1) Did you have an emissions target that was active in the reporting year?**

No target

**C4.1c**

**(C4.1c) Explain why you did not have an emissions target, and forecast how your emissions will change over the next five years.**

	Primary reason	Five-year forecast	Please explain
Row 1	We are planning to introduce a target in the next two years	As part of our long-standing commitment to corporate sustainability, Broadridge is developing a plan to reach net zero greenhouse gas (GHG) emissions by the year 2050. Broadridge is planning to set a date at which it will achieve this goal, including a near-term target validated by the Science Based Target initiative (SBTi), backed by a comprehensive approach to develop detailed emission reduction roadmaps. In May 2022 we committed to a Business Ambition of 1.5°C with SBTi. In order to meet this commitment, we plan to set a target by May 2024.  We will reference the minimum specified rate by SBTi of 4.2% per year over the next five years, or an equivalent reduction in carbon intensity depending on the SBTi target approach we choose to adopt.	We have set our base year to be our 2023 fiscal year to align with our company's financial reporting period. Also, our base year has been recalculated for an increased GHG operational boundary to enhance completeness in line with the GHG Protocol. Due to these changes, our inventory reported in the years prior to 2022 is no longer a consistent measure in line with our current GHG inventory.  In May 2022 we committed to a Business Ambition of 1.5°C with SBTi. In order to meet this commitment, we plan to set a target by May 2024.

**C4.2**

**(C4.2) Did you have any other climate-related targets that were active in the reporting year?**

Net-zero target(s)

**C4.2c**

**(C4.2c) Provide details of your net-zero target(s).**

**Target reference number**

NZ1

**Target coverage**

Company-wide

**Absolute/intensity emission target(s) linked to this net-zero target**

Not applicable

**Target year for achieving net zero**

2050

**Is this a science-based target?**

Yes, we consider this a science-based target, and we have committed to seek validation of this target by the Science Based Targets initiative in the next two years

**Please explain target coverage and identify any exclusions**

We've included 95% of our Scope 1 and 2 targets and our highest emitting Scope 3 categories.

**Do you intend to neutralize any unabated emissions with permanent carbon removals at the target year?**

Unsure

**Planned milestones and/or near-term investments for neutralization at target year**

<Not Applicable>

**Planned actions to mitigate emissions beyond your value chain (optional)**

Neutralization of emissions at target year 2050 are still under consideration at this time.

**C4.3**

**(C4.3) Did you have emissions reduction initiatives that were active within the reporting year? Note that this can include those in the planning and/or implementation phases.**

Yes

**C4.3a**

**(C4.3a) Identify the total number of initiatives at each stage of development, and for those in the implementation stages, the estimated CO2e savings.**

	Number of Initiatives	Total estimated annual CO2e savings in metric tonnes CO2e (only for rows marked *)
Under investigation	0	0
To be implemented*	0	0
Implementation commenced*	0	0
Implemented*	4	398440.2
Not to be implemented	0	0

**C4.3b**

**(C4.3b) Provide details on the initiatives implemented in the reporting year in the table below.**

**Initiative category & Initiative type**

Company policy or behavioral change	Customer engagement
-------------------------------------	---------------------

**Estimated annual CO2e savings (metric tonnes CO2e)**

397558

**Scope(s) or Scope 3 category(ies) where emissions savings occur**

Scope 3 category 11: Use of sold products

**Voluntary/Mandatory**

Voluntary

**Annual monetary savings (unit currency – as specified in C0.4)**

1400000000

**Investment required (unit currency – as specified in C0.4)**

0

**Payback period**

No payback

**Estimated lifetime of the initiative**

Ongoing

**Comment**

Broadridge technologies and processing — with and on behalf of broker-dealers, custodian banks, public companies, and fund companies — drove digital proxy delivery to



a new high during our 2023 fiscal year. As a result, public companies and funds saved an estimated \$1.4 billion over the 2023 fiscal year on printing and postage costs in connection with our digital proxy and alternative delivery services. This assumed that 77% of the suppressed mailings were notice mailings versus full package mailings based on proxy distributions data processed in the US for fiscal year 2022 and based on average USPS standard mail delivery rates for all mailings for fiscal year 2023. This reduced the environmental impact of printing and mailing hard copy communications and drove down Scope 3 GHG emissions (covering indirect emissions over the proxy delivery supply chain). We estimate that our digital proxy and alternative delivery services (excluding householding suppressions) have resulted in annual CO2e savings of 397,558 metric tons for the fiscal year 2023 across our US-based deliveries.

**Initiative category & Initiative type**

Energy efficiency in buildings	Lighting
--------------------------------	----------

**Estimated annual CO2e savings (metric tonnes CO2e)**

508

**Scope(s) or Scope 3 category(ies) where emissions savings occur**

Scope 2 (location-based)

**Voluntary/Mandatory**

Voluntary

**Annual monetary savings (unit currency – as specified in C0.4)**

172052

**Investment required (unit currency – as specified in C0.4)**

988020

**Payback period**

4-10 years

**Estimated lifetime of the initiative**

Ongoing

**Comment**

We have installed or will install LED retrofit lighting at five out of the total six of Broadridge's major U.S. production sites. Specifically in our Edgewood facilities, we replaced 5,988 T8 parabolic three-bulb fixtures with LED fixtures and now utilize 100% LED lighting, thus reducing energy usage and eliminating mercury waste from fluorescent bulbs. At our Edgewood facilities, our eco-friendly lighting initiative reduced an estimated 508 metric tons of CO2e emissions annually.

**Initiative category & Initiative type**

Low-carbon energy generation	Solar PV
------------------------------	----------

**Estimated annual CO2e savings (metric tonnes CO2e)**

320.4

**Scope(s) or Scope 3 category(ies) where emissions savings occur**

Scope 1

**Voluntary/Mandatory**

Voluntary

**Annual monetary savings (unit currency – as specified in C0.4)**

173525

**Investment required (unit currency – as specified in C0.4)**

4071179

**Payback period**

11-15 years

**Estimated lifetime of the initiative**

>30 years

**Comment**

In 2016, we acquired our El Dorado Hills printing production operation, which included a 0.8 MW PV solar energy system. We also entered into a Net Energy metering agreement with a local utility in 2012 through our El Dorado Hills facility. This allows us to export solar power to support the area's energy grid during peak usage while crediting us at higher peak and partial-peak rates. We are able to save costs and use credits when the solar is offline.

**Initiative category & Initiative type**

Energy efficiency in buildings	Heating, Ventilation and Air Conditioning (HVAC)
--------------------------------	--

**Estimated annual CO2e savings (metric tonnes CO2e)**

53.8

**Scope(s) or Scope 3 category(ies) where emissions savings occur**

Scope 2 (location-based)

**Voluntary/Mandatory**

Voluntary

**Annual monetary savings (unit currency – as specified in C0.4)**

7930

**Investment required (unit currency – as specified in C0.4)**

296511

**Payback period**

4-10 years

**Estimated lifetime of the initiative**

Ongoing

**Comment**

We have installed high-efficiency rooftop HVAC units in our Edgewood, New York facilities. In an ongoing effort to install and replace high-efficiency rooftop HVAC units, the savings and investment detailed above account for all high-efficiency rooftop HVAC units installed since July 2021 in our Edgewood, New York facilities. In fiscal year 2023 alone we replaced two HVAC units.

C4.3c

**(C4.3c) What methods do you use to drive investment in emissions reduction activities?**

Method	Comment
Internal finance mechanisms	Our organization reviews capital expenditures based on client needs, technology advancements, cost reduction goals, and energy/GHG emissions reduction benefits.

C4.5

**(C4.5) Do you classify any of your existing goods and/or services as low-carbon products?**

No

C5. Emissions methodology

C5.1

**(C5.1) Is this your first year of reporting emissions data to CDP?**

No

C5.1a

**(C5.1a) Has your organization undergone any structural changes in the reporting year, or are any previous structural changes being accounted for in this disclosure of emissions data?**

**Row 1**

**Has there been a structural change?**

No

**Name of organization(s) acquired, divested from, or merged with**

<Not Applicable>

**Details of structural change(s), including completion dates**

<Not Applicable>

C5.1b

**(C5.1b) Has your emissions accounting methodology, boundary, and/or reporting year definition changed in the reporting year?**

	Change(s) in methodology, boundary, and/or reporting year definition?	Details of methodology, boundary, and/or reporting year definition change(s)
Row 1	Yes, a change in methodology No, but we have discovered significant errors in our previous response(s)	We recategorized our transportation and distribution emissions from upstream to downstream. In addition, we corrected a data input error that occurred in the use of the GhG Protocol/Quantis Scope 3 screening tool. This error exceeded the 5% recalculation threshold as stated in our Base Year Emissions Recalculation Policy which covers all structural changes, calculation methodology changes, data errors or other changes. We have set our base year to be our 2023 fiscal year.

C5.1c

**(C5.1c) Have your organization's base year emissions and past years' emissions been recalculated as a result of any changes or errors reported in C5.1a and/or C5.1b?**

	Base year recalculation	Scope(s) recalculated	Base year emissions recalculation policy, including significance threshold	Past years' recalculation
Row 1	Yes	Scope 1 Scope 2, location-based Scope 3	We reclassified our transportation and distribution emissions from upstream to downstream. In addition, we corrected a data input error that occurred in the use of the GhG Protocol/Quantis Scope 3 screening tool. This error exceeded the 5% recalculation threshold as stated in our Base Year Emissions Recalculation Policy which covers all structural changes, calculation methodology changes, data errors or other changes. We have set our base year to be our 2023 fiscal year.	No

**C5.2**

**(C5.2) Provide your base year and base year emissions.**

**Scope 1**

**Base year start**  
July 1 2022

**Base year end**  
June 30 2023

**Base year emissions (metric tons CO2e)**  
12468

**Comment**

**Scope 2 (location-based)**

**Base year start**  
July 1 2022

**Base year end**  
June 30 2023

**Base year emissions (metric tons CO2e)**  
38129

**Comment**

**Scope 2 (market-based)**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 1: Purchased goods and services**

**Base year start**  
July 1 2022

**Base year end**  
June 30 2023

**Base year emissions (metric tons CO2e)**  
567888

**Comment**

**Scope 3 category 2: Capital goods**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 3: Fuel-and-energy-related activities (not included in Scope 1 or 2)**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 4: Upstream transportation and distribution**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 5: Waste generated in operations**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 6: Business travel**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 7: Employee commuting**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 8: Upstream leased assets**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 9: Downstream transportation and distribution**

**Base year start**

July 1 2022

**Base year end**

June 30 2023

**Base year emissions (metric tons CO2e)**

710186

**Comment**

**Scope 3 category 10: Processing of sold products**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 11: Use of sold products**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 12: End of life treatment of sold products**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 13: Downstream leased assets**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 14: Franchises**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3 category 15: Investments**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3: Other (upstream)**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**Scope 3: Other (downstream)**

**Base year start**

**Base year end**

**Base year emissions (metric tons CO2e)**

**Comment**

**C5.3**

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**(C5.3) Select the name of the standard, protocol, or methodology you have used to collect activity data and calculate emissions.**

The Greenhouse Gas Protocol: A Corporate Accounting and Reporting Standard (Revised Edition)

**C6. Emissions data**

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**C6.1**

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**(C6.1) What were your organization's gross global Scope 1 emissions in metric tons CO2e?**

**Reporting year**

**Gross global Scope 1 emissions (metric tons CO2e)**

12468

**Start date**

<Not Applicable>

**End date**

<Not Applicable>

**Comment**

This 2023 CDP Report reflects data from July 1, 2022 through June 30, 2023 to align with the company's fiscal year and with operational control approach. Additionally, we align with the GHG Protocol. Our 2023 CDP Report also captures company-wide Broadridge offices, facilities, and data centers.

**C6.2**

---

**(C6.2) Describe your organization's approach to reporting Scope 2 emissions.**

**Row 1**

**Scope 2, location-based**

We are reporting a Scope 2, location-based figure

**Scope 2, market-based**

We have no operations where we are able to access electricity supplier emission factors or residual emissions factors and are unable to report a Scope 2, market-based figure

**Comment**

We are actively reaching out to our biggest electricity providers to collect market-based emissions and we will update our future CDP questionnaires in line with this.

**C6.3**

---

**(C6.3) What were your organization's gross global Scope 2 emissions in metric tons CO2e?**

**Reporting year**

**Scope 2, location-based**

38129

**Scope 2, market-based (if applicable)**

<Not Applicable>

**Start date**

<Not Applicable>

**End date**

<Not Applicable>

**Comment**

This 2023 CDP Report reflects data from July 1, 2022 through June 30, 2023 to align with the company's fiscal year and with operational control approach. Additionally, we align with the GHG Protocol. Our 2023 CDP Report also captures company-wide Broadridge offices, facilities, and data centers.

**C6.4**

---

**(C6.4) Are there any sources (e.g. facilities, specific GHGs, activities, geographies, etc.) of Scope 1, Scope 2 or Scope 3 emissions that are within your selected reporting boundary which are not included in your disclosure?**

No

**C6.5**

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**(C6.5) Account for your organization's gross global Scope 3 emissions, disclosing and explaining any exclusions.**

**Purchased goods and services**

**Evaluation status**

Relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

567888

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated using the spend based method for Purchased goods and services spend items within the Broadridge General Ledger with emissions factors applied utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.

**Capital goods**

**Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

1584

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated using the spend based method for Capital Goods spend items within the Broadridge General Ledger with emissions factors applied utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.

**Fuel-and-energy-related activities (not included in Scope 1 or 2)**

**Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

10743

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.

**Upstream transportation and distribution**

**Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

3159

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.

**Waste generated in operations**

**Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

1169

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.

**Business travel**

**Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

4933

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated using data actuals from Broadridge air and train travel as well as hotel bookings.

**Employee commuting**

**Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

6290

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated using the number of Broadridge employees with emissions factors applied utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.

**Upstream leased assets****Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

0

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was determined to be de minimis.

**Downstream transportation and distribution****Evaluation status**

Relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

710186

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated using the spend based method for downstream transportation and distribution spend items within the Broadridge General Ledger with emissions factors applied utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.

**Processing of sold products****Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

0

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was determined to be de minimis.

**Use of sold products****Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

0

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was determined to be de minimis.

**End of life treatment of sold products****Evaluation status**

Not relevant, calculated

**Emissions in reporting year (metric tons CO2e)**

14933

**Emissions calculation methodology**

Spend-based method

**Percentage of emissions calculated using data obtained from suppliers or value chain partners**

100

**Please explain**

This category was calculated using weight of paper distributed to customers in a 12- month period with emissions factors applied utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.



## Downstream leased assets

### Evaluation status

Not relevant, calculated

### Emissions in reporting year (metric tons CO2e)

11721

### Emissions calculation methodology

Spend-based method

### Percentage of emissions calculated using data obtained from suppliers or value chain partners

100

### Please explain

This category was calculated using the leased square footage of all Broadridge subleased spaces with emissions factors applied utilizing the GHG Protocol/Quantis Scope 3 evaluator tool.

## Franchises

### Evaluation status

Not relevant, calculated

### Emissions in reporting year (metric tons CO2e)

0

### Emissions calculation methodology

Spend-based method

### Percentage of emissions calculated using data obtained from suppliers or value chain partners

100

### Please explain

This category was determined to be de minimis.

## Investments

### Evaluation status

Not relevant, calculated

### Emissions in reporting year (metric tons CO2e)

0

### Emissions calculation methodology

Spend-based method

### Percentage of emissions calculated using data obtained from suppliers or value chain partners

100

### Please explain

This category was determined to be de minimis.

## Other (upstream)

### Evaluation status

Not relevant, explanation provided

### Emissions in reporting year (metric tons CO2e)

<Not Applicable>

### Emissions calculation methodology

<Not Applicable>

### Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

### Please explain

This category was determined to be de minimis.

## Other (downstream)

### Evaluation status

Not relevant, explanation provided

### Emissions in reporting year (metric tons CO2e)

<Not Applicable>

### Emissions calculation methodology

<Not Applicable>

### Percentage of emissions calculated using data obtained from suppliers or value chain partners

<Not Applicable>

### Please explain

This category was determined to be de minimis.

C6.7

(C6.7) Are carbon dioxide emissions from biogenic carbon relevant to your organization?

No

## C6.10

(C6.10) Describe your gross global combined Scope 1 and 2 emissions for the reporting year in metric tons CO<sub>2</sub>e per unit currency total revenue and provide any additional intensity metrics that are appropriate to your business operations.

**Intensity figure**

0.0000088625

**Metric numerator (Gross global combined Scope 1 and 2 emissions, metric tons CO<sub>2</sub>e)**

50597

**Metric denominator**

unit total revenue

**Metric denominator: Unit total**

5709100000

**Scope 2 figure used**

Location-based

**% change from previous year**

10.34

**Direction of change**

Decreased

**Reason(s) for change**

Other emissions reduction activities

Change in output

**Please explain**

Our total Scope 1 decreased year-over-year due to a reduction in on-site fuel consumption. Our total Scope 2 increased year-over-year due to a change in output and increased revenue for the company overall.

## C7. Emissions breakdowns

### C7.1

(C7.1) Does your organization break down its Scope 1 emissions by greenhouse gas type?

Yes

### C7.1a

(C7.1a) Break down your total gross global Scope 1 emissions by greenhouse gas type and provide the source of each used greenhouse warming potential (GWP).

Greenhouse gas	Scope 1 emissions (metric tons of CO <sub>2</sub> e)	GWP Reference
CO <sub>2</sub>	12264.1	IPCC Fourth Assessment Report (AR4 - 100 year)
CH <sub>4</sub>	5.7	IPCC Fourth Assessment Report (AR4 - 100 year)
N <sub>2</sub> O	7	IPCC Fourth Assessment Report (AR4 - 100 year)
HFCs	61.2	IPCC Fourth Assessment Report (AR4 - 100 year)
Other, please specify (Mobile emissions)	130.21	IPCC Fourth Assessment Report (AR4 - 100 year)

### C7.2

**(C7.2) Break down your total gross global Scope 1 emissions by country/area/region.**

Country/area/region	Scope 1 emissions (metric tons CO2e)
Australia	0
Brazil	0
Canada	473.7
Czechia	59.2
France	0
Germany	16.8
India	72.6
Ireland	77.2
Italy	0
Japan	0
Netherlands	0
Hong Kong SAR, China	0
Philippines	0
Poland	0
Romania	0
Russian Federation	0
Singapore	0
Sweden	0
United Kingdom of Great Britain and Northern Ireland	0
United States of America	11768.81

**C7.3**

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**(C7.3) Indicate which gross global Scope 1 emissions breakdowns you are able to provide.**

- By business division
- By facility
- By activity

**C7.3a**

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**(C7.3a) Break down your total gross global Scope 1 emissions by business division.**

Business division	Scope 1 emissions (metric ton CO2e)
BAMS	0
Clearstructure	0
Corporate	9.6
Dataphile/GTO	0
Emerald	0
FCS (MFRS)	0
FIS	0
Gmbh	0
GSMS	0
GTO	33.7
GTO/BAMS	0
GTO/BAS	0
GTO/Clearstructure	0
GTO/Dataphile	0
GTO/Rockall	26.6
GTO/RPM	81.3
GTO/SFCM	0
GTO/SWIFT SB	16.8
GTO/WCMS	0
GTOi	59.2
ICS	11407.2
ICS/Access Data	580.5
ICS/FundAssist	50.6
ICS/Funds Library	0
ICS/GTO	0
ICS/Marcom	0
ICS/Newriver	0
India	72.6
Matrix	0
RPM	0
Shadow	0
BTCS	0
Swift HCOB	0
GCIS	0
BAMS, BRCC, GCIS, International	0
BRACS	0
BRCC	0
Other - shared services	130.21

**C7.3b**

**(C7.3b) Break down your total gross global Scope 1 emissions by business facility.**

Facility	Scope 1 emissions (metric tons CO2e)	Latitude	Longitude
"Museum Plaza Offices" 3rd Emile Zola Street, Cluj County, Romania Romania	0	46.77203	23.58874
#49-08, OBS Centre, 65 Chulia Street, Singapore, Singapore	0	1.285033	103.849076
1 Chun Ying St, Tseung Kwan, Hong Kong People's Republic of China	0	22.285279	114.27336
1 Enterprise Ave N, Secaucus, NJ United States	0	40.773584	-74.05861
100 Wellington St W, Toronto, ON Canada	0	43.64711	-79.38278
10461 Mill Run Circle, Ste. 1200 BECO Towers, Owings Mills, MD United States	0	39.407174	-110.651499
11 Hanbury Street, London, England United Kingd	0	51.521736	-0.073061
1-12-3, Suidou, Bunkyo-ku, Tokyo, JP, 112-0005, Tokyo, Japan	0	35.71745	139.74729
1155 Long Island Ave, Edgewood II, NY United States	100.9	40.77394	-73.28559
12 Arthur Street, Floor 2, London, UK United Kingdom	0	51.51035	-0.08831
120 Bremner Boulevard, 23rd Floor, Toronto, ON Canada	81.3	43.64295	-79.38312
120 East Van Buren St, Phoenix, AZ United States	0	33.448436	-112.074141
120 Wilshire Boulevard, Edgewood, NY United States	236	40.78031	-73.29215
1221 Coit Rd, Plano, TX, United States	0	33.015415	-96.766895
125 Ellington Rd, South Windsor, CT, United States	286.1	41.801	-72.61348
125 High Street, Floor 3, Boston, MA United States	0	42.3561	-71.05319
1-2-5 Nihombashi-Ningyocho, Chuo-ku, Tokyo, Japan	0	35.681718	139.775785
1359 Broadway, Suite 800, New York, NY United States	0	40.689687	-73.922229
137 Boulevard Voltaire, Paris, France	0	48.856074	2.383324
15/F Global Gateway, 168 Yeung UK Road, Tseung Wan, Hong Kong, Hong Kong People's Republic of China	0	22.37908	114.10598
15050 Avenue of Science Ste. 200, San Diego, CA United States	0	32.988	-117.08168
151 Front St W, Suite 800, Toronto, ON Canada	0	43.644724	-79.384173

Facility	Scope 1 emissions (metric tons CO2e)	Latitude	Longitude
163 53 Spånga Finspångsgatan 25, Spånga, Stockholm, Sweden	0	59.38122	17.90033
1700 Macarthur Blvd, 2nd Floor, Mahwah, NJ, United States	0	41.067417	-74.175539
1895 Williams Pkwy, Brampton, ON Canada	0	43.690333	-79.785254
190 S. LaSalle Street, Suite 1200, Chicago, IL United States	0	41.87967	-87.6326
2 Castle Terrace, Floor 3, Edinburgh, Scotland United Kingdom	0	55.94718	-3.20263
2 Peekay Drive, Suite 304 (Premise A & B) , Clifton, NJ United States	0	40.830585	-74.124514
200 Brickstone Square FL 5, Andover, MA United States	0	42.67465	-71.1461
21 Boulevard Haussmann, Paris, France France	0	48.87289	2.33407
21691 Filigree Court, Ashburn, VA United States	0	39.014693	-77.457849
23 Camomile Street, Floor 3, Camomile Court, London, UK United Kingdom	0	51.515904	-0.080203
2455 South Rd, Poughkeepsie, NY United States	0	41.657133	-73.937829
25 Serangoon North Avenue 5, Singapore, Singapore	0	1.375532	103.875076
2561 Bernville Rd, Reading, PA United States	0	40.364253	-75.954671
2600 Southwest Blvd, Kansas City, MO United States	0	17.45929	78.3735
2601 14th Ave., Markham, ON Canada	392.4	51.50083	-0.0149
275 Hartz Way, Secaucus, NJ United States	0	40.780414	-74.075125
2905 Diehl Road, Aurora, IL United States	0	41.798052	-88.246636
2nd Floor, St. Catherine's Court. Clifton, Bristol, UK United Kingdom	0	51.45618	-2.60874
300 Executive Drive, Edgewood III, NY United States	261.6	40.77645	-73.29076
300 JFK Boulevard East, Weehawken, NJ United States	0	40.769545	-74.020417
33 Boston Post Road FL 1 , Marlborough, MA United States	0	42.339168	-145.463785
333 Clay Street, Suite 4850, Three Allen Center, Houston, TX United States	0	29.75795	-95.37061
3330 East Lone Mountain Road, Las Vegas, NV United States	0	36.247071	-115.101366
335 Inverness Drive South, Englewood, CO United States	0	39.559742	-104.862563
350 E Cermak Rd, Chicago, IL United States	0	41.853753	-87.618364
3500 Steeles Ave East, Markham, ON Canada	0	43.817652	-79.3403
352 Buckingham Ave, Slough, England, UK	0	51.524689	-0.635062
3-5-33 Mukodai Nishi, Tokyo, Japan Japan	0	24.79745	141.31039
4 King Street, Toronto, ON Canada	0	43.707185	-79.510584
404 S Royal Lane, Coppell, TX United States	65.4	32.96728	-97.02481
4499 Fisher Road, Columbus, OH United States	0	39.969677	-83.113196
5 Dakota Dr. Ste. 300, Lake Success, NY United States	9.6	51.50083	-0.0149
51 Mercedes Way, Edgewood I, NY United States	1161.7	40.78378	-73.28591
510 Burrard St, Suite 600, Vancouver, BC Canada	0	49.28602	-123.11721
5220 Robert J Matthews Parkway, El Dorado Hills, CA United States	8864.4	38.61673	-121.05775
572 Delong St., Salt Lake City, UT United States	0	40.757075	-111.953466
5847 San Felipe, Floor 8, Suite 580, Houston, TX United States	0	29.749855	-95.484211
5A Broadcast Way, Artarmon, NSW Australia	0	-33.820052	151.18585
5F Multinational Bancorporation Centre, 6805 Ayala Ave, Makati City, Manila Philippines	0	14.559348	121.017663
605 Third Avenue, Floor 39,40,41 & 42, New York, NY United States	0	40.74917	-73.97512
61 Robinson Road, #10-01 Robinson Centre, Singapore, Singapore	0	1.27954	103.84921
660 Greens Pkwy, Houston, TX United States	0	29.94473	-95.423291
6-7 Harbour Exchange Square, London, England United Kingdom	0	51.498117	-0.014485
7/F S-Gate Akasaka Sanno, 2-5-1, Akasaka, Minato-ku, Tokyo, Japan	0	35.67319	139.73916
717 17th Street Ste. 1300, Denver, CO United States	0	39.74702	-104.99123
717 17th Street Ste. 1600, Denver, CO, United States	0	39.74702	-104.99123
73 Laird Drive, East New York, ON Canada	0	44.00011	-79.46632
755 Secaucus Rd, Secaucus, NJ United States	0	40.77784	-74.066959
777 Central Boulevard, Carlstadt, NJ United States	0	40.828513	-74.044923
8 Garamond Ct, North York, ON Canada	0	43.726787	-79.334039
8/F 399 Chai Wan Road, Hong Kong, Hong Kong People's Republic of China	0	22.266096	114.246522
9305 Lightwave Ave, San Diego, CA United States	0	32.827858	-117.129926
Coriander Ave, Room TFM150 - 5th Floor, London, England United Kingdom	0	51.511881	-0.001029
CTI Global, Unit G, Baldonnell Business Park, Dublin, Ireland United Kingdom	0	52.06089	-0.348619
Divya Sree Omega, C Block 2nd FL, Hitech City Road, Kondapur, Hyderabad, Telangana India	1.2	17.45929	78.3735
Divyasree NR Enclave, Plot No-1, EPIP Industrial Area, Whitefield, Bangalore, Karnataka India	46.3	12.98691	77.73077
Eschborner Landstraße 100, Frankfurt, Germany	0	50.128074	8.601058
First Tower Lane, St Peter Port, Guernsey United Kingdom	0	49.45853	-2.5787
Hanauer Landstraße 298, Frankfurt, Germany	0	50.119195	8.735144
IWG - 343 Preston St, Ottawa, ON K1S 1N4, Ottawa, ON Canada	0	45.399014	-75.708543
Karl-Landsteiner-Ring 4, Rüsselsheim, Germany Germany	0	49.974061	8.450137
Kruppstrasse 121-127 / Friesstraße 26, Frankfurt, Germany Germany	0	50.143145	8.739315
Kungsgatan 36, 5th Floor, PO Box 7742, SE-103 95, Stockholm, Sweden Sweden	0	59.335833	18.064278
Lärchenstraße 110, Frankfurt, Germany Germany	0	50.09793	8.587839
Lodeynopolskaya ul. 5, St. Petersburg, Russia Russian Federation	0	59.96392	30.28954
Mainzer, Landstrasse 209-211, Frankfurt, Germany Germany	16.8	50.10419	8.64827
Office 201, B 205 Supreme Business Park, Hiranandani Gardens, Powai, Mumbai, India India	0	19.110788	72.908177
Olivia Business Centre Building #4, 5th fl 472 Grunwaldzka Avenue, Gdansk, Poland Poland	0	54.40292	18.57151
Room 4201-05, Hopewell Centre, 183 Queen's Road East , Hong Kong, Hong Kong Peoples Republic of China	0	22.27495	114.17176
Smedbyvagen 6, 194 30 Upplands Vasby, Stockholm, Sweden Sweden	0	59.486947	18.2925

Facility	Scope 1 emissions (metric tons CO2e)	Latitude	Longitude
Survey No. 64, HITEC City, Madhapur, Hyderabad, Telangana India	25.1	17.45002	78.38215
Thamova 32 - Meteor C, Prague, Czech Republic Czech Republic	59.2	50.09444	14.45142
Two Chatham Center 2nd Floor, Pittsburgh, PA United States	580.5	40.74917	-73.97512
Via Felice Casati, 35-20, Milan, Italy	0	45.480254	9.200325
West Pier Business Campus, Office 210 Dun Laoghaire CO, Dublin, Ireland	26.6	53.29569	-6.14442
WeWork - 167 N Green St, Chicago, IL 60607, Chicago, IL United States	0	41.886418	87.648667
WeWork - 5 Martin Pl., Sydney NSW 2000, Sydney, Australia	0	-33.867817	151.210759
WeWork - Warschauer Platz, 11-13, 10245 Berlin, Germany	0	52.503912	13.448834
Yakubovicha Str. 24, b, A, New St. Isaac Office Centre, 190000, St. Petersburg Russia Russian Federation	0	59.93863	30.31413
4F Buhou Building 3-5-20 Shibasaki-cho, Tachikawa-shi Japan	0	35.71447	139.40453
30 Raffles Place, #30-01, Singapore 048622, Singapore	0	1.284288	103.852153
555 Burrard Street Office No #03-128, Vancouver BC Canada	0	49.286517	-123.119191
1100 North 28th Street Ste 300, Irving, TX United States	38.8	32.932843	-97.022226
110 W. Park Drive, Mt. Laurel, NJ United States	31.7	39.934202	-74.954714
2800 North Central Ave. Ste. 900, Phoenix, AZ United States	0	33.478979	-112.074338
2 Buckingham Ave, Slough SL1 4NB, UK	0	51.523424	-0.635831
KPN CyberCenter, Rondebeltweg 62, Almere, Netherlands	0	52.364477	5.268911
Nonnendammallee 15, Berlin, Germany	0	52.53824	13.237933
Coriander Ave, Room TFM60 - 3rd Floor, London, England United Kingdom	0	51.511673	-0.002979
Leonard-heisswolf strasse 4, Frankfurt, Germany	0	50.128261	8.583008
33 Chun Choi Street, Yan Hing Industrial Building Tseung Kwan O Industrial Estate, Hong Kong, Hong Kong People's Republic of China	0	22.282501	114.27394
Fountain Court, Cox Lane, Chessington, England United Kingdom	0	51.368785	-0.291183
12 Liverpool Rd, Slough, England, United Kingdom	0	51.523188	-0.62303
A57 Cody Technology Park, Victor Way, Farnborough, England United Kingdom	0	51.28083	-0.791843
"2 Gateway Center 283-299 Market St.", Newark, NJ United States	1.9	40.734604	-74.166652
193 Marsh Wall Thames Quay, London, United Kingdom	0	51.500285	-0.014929
Units 5A & 5B Dundrum Business Park, Dublin, Ireland	50.6	53.302921	-6.243956
1-26-1 Shinmachi Nishi-ku, Osaka, Japan	0	34.675838	135.495633
7-9 rue Petit, Clichy, France	0	48.899841	2.295943
29A International Business Park, Jurong, Singapore	0	1.328788	103.746061
Tepco Toyosu Building 6-2-15 Toyosu, Koto City, Tokyo Japan	0	35.647877	139.790823
Shin-Toyosu Cube, 6-2-12 Toyosu, Koto City, Tokyo Japan	0	35.648678	139.792449
Kvastvägen 25, 128 62 Sköndal, Sweden, Sköndal, Sweden	0	59.26378	18.105319
Tepco Toyosu Building 5th Floor 6-2-15 Toyosu, Koto City, Tokyo Japan	0	35.647886	139.790866
Rua Ricardo Prudente de Aquino, 85 - Res. Tres (Tambore), Santana de Parnaíba, São Paulo Brazil	0	-23.466076	-46.863927
200 Bourke Rd, Alexandria, New South Wales Australia	0	-33.91802	151.189278
Other -- mobile emissions	130.21	51.50083	-0.0149

### C7.3c

#### (C7.3c) Break down your total gross global Scope 1 emissions by business activity.

Activity	Scope 1 emissions (metric tons CO2e)
Administration	1192.4
Data Storage and Processing	0
Inventory Storage	274.8
Printing Facility	10870.9
Disaster Recovery	0
Network Peering	0
Other -- mobile emissions	130.21

### C7.5

**(C7.5) Break down your total gross global Scope 2 emissions by country/area/region.**

Country/area/region	Scope 2, location-based (metric tons CO2e)	Scope 2, market-based (metric tons CO2e)
United States of America	31690.9	
Canada	694.2	
Germany	314.5	
India	4026.8	
United Kingdom of Great Britain and Northern Ireland	438.3	
Japan	201.8	
Singapore	74.8	
Ireland	66.5	
Poland	70.4	
Australia	121.6	
Brazil	2.3	
Czechia	8	
France	16.6	
Italy	14.2	
Netherlands	25.9	
Hong Kong SAR, China	241.7	
Philippines	13.5	
Romania	71.7	
Russian Federation	29.2	
Sweden	6.7	

**C7.6**

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**(C7.6) Indicate which gross global Scope 2 emissions breakdowns you are able to provide.**

- By business division
- By facility
- By activity

**C7.6a**

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**(C7.6a) Break down your total gross global Scope 2 emissions by business division.**

Business division	Scope 2, location-based (metric tons CO2e)	Scope 2, market-based (metric tons CO2e)
BAMS	25.4	
Clearstructure	26.6	
Corporate	105.8	
Dataphile/GTO	10.1	
Emerald	31.1	
FCS (MFRS)	19.4	
FIS	34	
Gmbh	43.9	
GSMS	182	
GTO	490.3	
GTO/BAMS	50	
GTO/BAS	16.4	
GTO/Clearstructure	33.8	
GTO/Dataphile	1.5	
GTO/Rockall	18.7	
GTO/RPM	48.1	
GTO/SFCM	12.1	
GTO/SWIFT SB	29.2	
GTO/WCMS	45.9	
GTOi	89.3	
ICS	29112.1	
ICS/Access Data	82.7	
ICS/FundAssist	47.8	
ICS/Funds Library	13.3	
ICS/GTO	1286.3	
ICS/Marcom	12.1	
ICS/Newriver	34.6	
India	3307.3	
Matrix	124.4	
RPM	22.5	
Shadow	99.4	
Other - shared services	737.4	
BTCS	1856	
Swift HCOB	29.6	
GCIS	17.7	
BAMS, BRCC, GCIS, International	0.9	
BRACS	31.9	
BRCC	0.1	

**C7.6b**

**(C7.6b) Break down your total gross global Scope 2 emissions by business facility.**

Facility	Scope 2, location-based (metric tons CO2e)	Scope 2, market-based (metric tons CO2e)
1 Chun Ying St, Tseung Kwan, Hong Kong People's Republic of China	27.3	
1 Enterprise Ave N, Secaucus, NJ United States	25.4	
10461 Mill Run Circle, Ste. 1200 BECO Towers, Owings Mills, MD United States	12.1	
11 Hanbury Street, London, England United Kingdom	121.6	
1-12-3, Suidou, Bunkyo-ku, Tokyo, JP, 112-0005, Tokyo, Japan	0	
1155 Long Island Ave, Edgewood II, NY United States	5791.2	
12 Arthur Street, Floor 2, London, UK United Kingdom	21.2	
120 Bremner Boulevard, 23rd Floor, Toronto, ON Canada	48.1	
120 East Van Buren St, Phoenix, AZ United States	18.4	
120 Wilshire Boulevard, Edgewood, NY United States	67.1	
1221 Coit Rd, Plano, TX, United States	6.5	
125 Ellington Rd, South Windsor, CT, United States	2314.6	
125 High Street, Floor 3, Boston, MA United States	41.5	
1-2-5 Nihombashi-Ningyocho, Chuo-ku, Tokyo, Japan	3	
"Museum Plaza Offices" 3rd Emile Zola Street, Cluj County, Romania Romania	71.7	
#49-08, OBS Centre, 65 Chulia Street, Singapore, Singapore	2	
100 Wellington St W, Toronto, ON Canada	9.4	
1359 Broadway, Suite 800, New York, NY United States	3.2	
137 Boulevard Voltaire, Paris, France	4.4	
15/F Global Gateway, 168 Yeung UK Road, Tseun Wan, Hong Kong, Hong Kong People's Republic of China	85.4	
15050 Avenue of Science Ste. 200, San Diego, CA United States	16.1	
151 Front St W, Suite 800, Toronto, ON Canada	0.1	



Facility	Scope 2, location-based (metric tons CO2e)	Scope 2, market-based (metric tons CO2e)
163 53 Spånga Finspångsgatan 25, Spånga, Stockholm, Sweden	1.2	
1700 Macarthur Blvd, 2nd Floor, Mahwah, NJ, United States	33.7	
1895 Williams Pkwy, Brampton, ON Canada	13.1	
190 S. LaSalle Street, Suite 1200, Chicago, IL United States	24.4	
193 Marsh Wall Thames Quay, London, UK United Kingdom	104.6	
2 Buckingham Ave Slough SL1 4NB - LD4:0G:0MC212, Slough, England United Kingdom	0.9	
2 Castle Terrace, Floor 3, Edinburgh, Scotland United Kingdom	12.1	
2 Peekay Drive, Suite 304 (Premise A & B) , Clifton, NJ United States	1238	
200 Brickstone Square FL 5, Andover, MA United States	34.6	
21 Boulevard Haussmann, Paris, France	7.8	
21691 Fillgree Court, Ashburn, VA United States	74	
23 Camomile Street, Floor 3, Camomile Court, London, UK United Kingdom	7.7	
2455 South Rd, Poughkeepsie, NY United States	8.8	
25 Serangoon North Avenue 5, Singapore, Singapore	24.8	
2561 Bernville Rd, Reading, PA United States	25.4	
2600 Southwest Blvd, Kansas City, MO United States	8356.5	
2601 14th Ave., Markham, ON Canada	565	
275 Hartz Way, Secaucus, NJ United States	16.3	
2800 North Central Ave. Ste. 900 , Phoenix, AZ United States	18.6	
2905 Diehl Road, Aurora, IL United States	22.9	
2nd Floor, St. Catherine's Court, Clifton, Bristol, UK United Kingdom	13.3	
300 Executive Drive, Edgewood III, NY United States	180.5	
300 JFK Boulevard East, Weehawken, NJ United States	41.1	
33 Boston Post Road FL 1 , Marlborough, MA United States	0.2	
333 Clay Street, Suite 4850, Three Allen Center, Houston, TX United States	26.8	
3330 East Lone Mountain Road, Las Vegas, NV United States	29.4	
335 Inverness Drive South, Englewood, CO United States	16.6	
350 E Cermak Rd, Chicago, IL United States	127.3	
3500 Steeles Ave East, Markham, ON Canada	7.4	
352 Buckingham Ave, Slough, England, UK	27.5	
3-5-33 Mukodai Nishi, Tokyo, Japan	15.6	
4 King Street, Toronto, ON Canada	3.6	
404 S Royal Lane, Coppell, TX United States	1733.3	
4499 Fisher Road, Columbus, OH United States	39.5	
4F Bohou Building 3-5-20 Shibasaki-cho, Tachikawa-shi, Japan Japan	0.6	
5 Dakota Dr. Ste. 300, Lake Success, NY United States	105.8	
51 Mercedes Way, Edgewood I, NY United States	8758.4	
510 Burrard St, Suite 600, Vancouver, BC Canada	1.5	
5220 Robert J Matthews Parkway, El Dorado Hills, CA United States	1246.2	
572 Delong St., Salt Lake City, UT United States	16.5	
5847 San Felipe, Floor 8, Suite 580, Houston, TX United States	7	
5A Broadcast Way, Artarmon, NSW Australia	22.8	
5F Multinational Bancorporation Centre, 6805 Ayala Ave, Makati City, Manila Philippines	13.5	
605 Third Avenue, Floor 39,40,41 & 42, New York, NY United States	182	
61 Robinson Road, #10-01 Robinson Centre, Singapore, Singapore	0.1	
660 Greens Pkwy, Houston, TX United States	26.6	
6-7 Harbour Exchange Square, London, England United Kingdom	1	
7/F S-Gate Akasaka Sanno, 2-5-1, Akasaka, Minato-ku, Tokyo, Japan	51.1	
717 17th Street Ste. 1300, Denver, CO United States	105.8	
717 17th Street Ste. 1600, Denver, CO, United States	34	
73 Laird Drive, East New York, ON Canada	10.1	
755 Secaucus Rd, Secaucus, NJ United States	149.8	
777 Central Boulevard, Carlstadt, United States	117.5	
8 Garamond Ct, North York, ON Canada	10.8	
8/F 399 Chai Wan Road, Hong Kong, Hong Kong People's Republic of China	25.5	
9305 Lightwave Ave, San Diego, CA United States	12.6	
Coriander Ave, Room TFM150 - 5th Floor, London, England United Kingdom	21.4	
CTI Global, Unit G, Baldonnell Business Park, Dublin, Ireland United Kingdom	19.4	
Divya Sree Omega, C Block 2nd FL, Hitech City Road, Kondapur, Hyderabad, Telangana India	193.6	
Divyasree NR Enclave, Plot No-1, EPIP Industrial Area, Whitefield, Bangalore, Karnataka India	944.7	
Eschborner Landstraße 100, Frankfurt, Germany	29.6	
First Tower Lane, St Peter Port, Guernsey United Kingdom	19.4	
Hanauer Landstraße 298, Frankfurt, Germany	43.9	
IWG - 343 Preston St, Ottawa, ON K1S 1N4, Ottawa, ON Canada	2.4	
Karl-Landsteiner-Ring 4, Rüsselsheim, Germany Germany	53	
Kruppstrasse 121-127 / Friesstraße 26, Frankfurt, Germany Germany	50.4	
Kungsgatan 36, 5th Floor, PO Box 7742, SE-103 95, Stockholm, Sweden Sweden	0.5	
Lärchenstraße 110, Frankfurt, Germany Germany	29.6	
Lodeynopolskaya ul. 5, St. Petersburg, Russia Russian Federation	8.5	
Mainzer, Landstrasse 209-211, Frankfurt, Germany Germany	29.2	

Facility	Scope 2, location-based (metric tons CO2e)	Scope 2, market-based (metric tons CO2e)
Office 201, B 205 Supreme Business Park, Hiranandani Gardens, Powai, Mumbai, India India	719.4	
Olivia Business Centre Building #4, 5th fl 472 Grunwaldzka Avenue, Gdansk, Poland Poland	70.4	
Room 4201-05, Hopewell Centre, 183 Queen's Road East, Hong Kong, Hong Kong Peoples Republic of China	52.1	
Smedbyvagen 6, 194 30 Upplands Vasby, Stockholm, Sweden Sweden	2.8	
Survey No. 64, HITEC City, Madhapur, Hyderabad, Telangana India	2169	
Thamova 32 - Meteor C, Prague, Czech Republic Czech Republic	8	
Two Chatham Center 2nd Floor, Pittsburgh, PA United States	82.7	
Via Felice Casati, 35-20, Milan, Italy	14.2	
West Pier Business Campus, Office 210 Dun Laoghaire CO, Dublin, Ireland	18.7	
WeWork - 167 N Green St, Chicago, IL 60607, Chicago, IL United States	112	
WeWork - 5 Martin Pl., Sydney NSW 2000, Sydney, Australia	45	
WeWork - Warschauer Platz, 11-13, 10245 Berlin, Germany	19.8	
Yakubovicha Str. 24, b, A, New St. Isaac Office Centre, 190000, St. Petersburg Russia Russian Federation	20.7	
30 Raffles Place, #30-01, Singapore 048622, Singapore	15.7	
555 Burrard Street Office No #03-128, Vancouver BC Canada	22.7	
1100 North 28th Street Ste 300, Irving, TX United States	28.7	
110 W. Park Drive, Mt. Laurel, NJ United States	126.4	
KPN CyberCenter, Rondebeltweg 62, Almere, Netherlands	25.9	
Nonnendammallee 15, Berlin, Germany	29.6	
Coriander Ave, Room TFM60 - 3rd Floor, London, England United Kingdom	10.2	
Leonard-heisswolf strasse 4, Frankfurt, Germany	29.6	
33 Chun Choi Street, Yan Hing Industrial Building Tseung Kwan O Industrial Estate, Hong Kong, Hong Kong People's Republic of China	51.5	
Fountain Court, Cox Lane, Chessington, England United Kingdom	19.4	
12 Liverpool Rd, Slough, England, United Kingdom	19.4	
A57 Cody Technology Park, Victor Way, Farnborough, England United Kingdom	19.4	
"2 Gateway Center 283-299 Market St.", Newark, NJ United States	234.6	
Units 5A & 5B Dundrum Business Park, Dublin, Ireland	47.8	
1-26-1 Shinmachi Nishi-ku, Osaka, Japan	38.3	
7-9 rue Petit, Clichy, France	4.5	
29A International Business Park, Jurong, Singapore	32.3	
Tepco Toyosu Building 6-2-15 Toyosu, Koto City, Tokyo Japan	38.3	
Shin-Toyosu Cube, 6-2-12 Toyosu, Koto City, Tokyo Japan	38.3	
Kvastvägen 25, 128 62 Sköndal, Sweden	2.3	
Tepco Toyosu Building 5th Floor 6-2-15 Toyosu	16.6	
Rua Ricardo Prudente de Aquino, 85 - Res. Tres (Tambore), Santana de Parnaíba, São Paulo Brazil	2.3	
200 Bourke Rd, Alexandria, New South Wales Australia	53.9	

## C7.6c

(C7.6c) Break down your total gross global Scope 2 emissions by business activity.

Activity	Scope 2, location-based (metric tons CO2e)	Scope 2, market-based (metric tons CO2e)
Administration	6111.8	
Data Storage and Processing	3121.8	
Inventory Storage	95.9	
Printing Facility	28765.3	
Disaster Recovery	0.6	
Network Peering	34.1	

## C7.7

(C7.7) Is your organization able to break down your emissions data for any of the subsidiaries included in your CDP response?

No

## C7.9

(C7.9) How do your gross global emissions (Scope 1 and 2 combined) for the reporting year compare to those of the previous reporting year?

Increased

## C7.9a

**(C7.9a) Identify the reasons for any change in your gross global emissions (Scope 1 and 2 combined), and for each of them specify how your emissions compare to the previous year.**

	Change in emissions (metric tons CO2e)	Direction of change in emissions	Emissions value (percentage)	Please explain calculation
Change in renewable energy consumption		<Not Applicable>		
Other emissions reduction activities	2031	Decreased	14.01	Our total Scope 1 decreased year-over-year due to a reduction in on-site fuel consumption.
Divestment		<Not Applicable>		
Acquisitions		<Not Applicable>		
Mergers		<Not Applicable>		
Change in output	3267	Increased	9.37	Our total Scope 2 increased year-over-year due to a change in output and increased revenue for the company overall.
Change in methodology		<Not Applicable>		
Change in boundary		<Not Applicable>		
Change in physical operating conditions		<Not Applicable>		
Unidentified		<Not Applicable>		
Other		<Not Applicable>		

**C7.9b**

**(C7.9b) Are your emissions performance calculations in C7.9 and C7.9a based on a location-based Scope 2 emissions figure or a market-based Scope 2 emissions figure?**

Location-based

**C8. Energy**

**C8.1**

**(C8.1) What percentage of your total operational spend in the reporting year was on energy?**

More than 0% but less than or equal to 5%

**C8.2**

**(C8.2) Select which energy-related activities your organization has undertaken.**

	Indicate whether your organization undertook this energy-related activity in the reporting year
Consumption of fuel (excluding feedstocks)	Yes
Consumption of purchased or acquired electricity	Yes
Consumption of purchased or acquired heat	No
Consumption of purchased or acquired steam	No
Consumption of purchased or acquired cooling	No
Generation of electricity, heat, steam, or cooling	Yes

**C8.2a**

**(C8.2a) Report your organization's energy consumption totals (excluding feedstocks) in MWh.**

	Heating value	MWh from renewable sources	MWh from non-renewable sources	Total (renewable and non-renewable) MWh
Consumption of fuel (excluding feedstock)	Unable to confirm heating value	0	67211.5	67211.5
Consumption of purchased or acquired electricity	<Not Applicable>	0	84488.8	84488.8
Consumption of purchased or acquired heat	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Consumption of purchased or acquired steam	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Consumption of purchased or acquired cooling	<Not Applicable>	<Not Applicable>	<Not Applicable>	<Not Applicable>
Consumption of self-generated non-fuel renewable energy	<Not Applicable>	1322.5	<Not Applicable>	1322.5
Total energy consumption	<Not Applicable>	1322.5	151700.3	153022.7

**C8.2b**

**(C8.2b) Select the applications of your organization's consumption of fuel.**

	Indicate whether your organization undertakes this fuel application
Consumption of fuel for the generation of electricity	Yes
Consumption of fuel for the generation of heat	No
Consumption of fuel for the generation of steam	No
Consumption of fuel for the generation of cooling	No
Consumption of fuel for co-generation or tri-generation	Yes

**C8.2c**

**(C8.2c) State how much fuel in MWh your organization has consumed (excluding feedstocks) by fuel type.**

**Sustainable biomass**

**Heating value**

Unable to confirm heating value

**Total fuel MWh consumed by the organization**

0

**MWh fuel consumed for self-generation of electricity**

0

**MWh fuel consumed for self-generation of heat**

0

**MWh fuel consumed for self-generation of steam**

<Not Applicable>

**MWh fuel consumed for self-generation of cooling**

<Not Applicable>

**MWh fuel consumed for self- cogeneration or self-trigeneration**

0

**Comment**

**Other biomass**

**Heating value**

Unable to confirm heating value

**Total fuel MWh consumed by the organization**

0

**MWh fuel consumed for self-generation of electricity**

0

**MWh fuel consumed for self-generation of heat**

0

**MWh fuel consumed for self-generation of steam**

<Not Applicable>

**MWh fuel consumed for self-generation of cooling**

<Not Applicable>

**MWh fuel consumed for self- cogeneration or self-trigeneration**

0

**Comment**

**Other renewable fuels (e.g. renewable hydrogen)**

**Heating value**

Unable to confirm heating value

**Total fuel MWh consumed by the organization**

0

**MWh fuel consumed for self-generation of electricity**

0

**MWh fuel consumed for self-generation of heat**

0

**MWh fuel consumed for self-generation of steam**

<Not Applicable>

**MWh fuel consumed for self-generation of cooling**

<Not Applicable>

**MWh fuel consumed for self- cogeneration or self-trigeneration**

0

**Comment**

**Coal**

**Heating value**

Unable to confirm heating value

**Total fuel MWh consumed by the organization**

0

**MWh fuel consumed for self-generation of electricity**

0

**MWh fuel consumed for self-generation of heat**

0

**MWh fuel consumed for self-generation of steam**

<Not Applicable>

**MWh fuel consumed for self-generation of cooling**

<Not Applicable>

**MWh fuel consumed for self- cogeneration or self-trigeneration**

0

**Comment**

**Oil**

**Heating value**

Unable to confirm heating value

**Total fuel MWh consumed by the organization**

1085.5

**MWh fuel consumed for self-generation of electricity**

1085.5

**MWh fuel consumed for self-generation of heat**

0

**MWh fuel consumed for self-generation of steam**

<Not Applicable>

**MWh fuel consumed for self-generation of cooling**

<Not Applicable>

**MWh fuel consumed for self- cogeneration or self-trigeneration**

0

**Comment**

We leveraged U.S. EPA Emission Factors for Greenhouse Gas Inventories; March 16, 2023 in our calculations.

**Gas**

**Heating value**

Unable to confirm heating value

**Total fuel MWh consumed by the organization**

66031.7

**MWh fuel consumed for self-generation of electricity**

0

**MWh fuel consumed for self-generation of heat**

0

**MWh fuel consumed for self-generation of steam**

<Not Applicable>

**MWh fuel consumed for self-generation of cooling**

<Not Applicable>

**MWh fuel consumed for self- cogeneration or self-trigeneration**

50164.4

**Comment**

Our organization has consumed natural gas and propane. We leveraged U.S. EPA Emission Factors for Greenhouse Gas Inventories; March 16, 2023 in our calculations.

**Other non-renewable fuels (e.g. non-renewable hydrogen)**

**Heating value**

Unable to confirm heating value

**Total fuel MWh consumed by the organization**

94.3

**MWh fuel consumed for self-generation of electricity**

0

**MWh fuel consumed for self-generation of heat**

0

**MWh fuel consumed for self-generation of steam**

<Not Applicable>

**MWh fuel consumed for self-generation of cooling**

<Not Applicable>

**MWh fuel consumed for self- cogeneration or self-trigeneration**

0

**Comment**

We leveraged U.S. EPA Emission Factors for Greenhouse Gas Inventories; March 16, 2023 in our calculations.

**Total fuel**

**Heating value**

Unable to confirm heating value

**Total fuel MWh consumed by the organization**

67211.5

**MWh fuel consumed for self-generation of electricity**

1085.5

**MWh fuel consumed for self-generation of heat**

0

**MWh fuel consumed for self-generation of steam**

<Not Applicable>

**MWh fuel consumed for self-generation of cooling**

<Not Applicable>

**MWh fuel consumed for self- cogeneration or self-trigeneration**

50164.4

**Comment**

We leveraged U.S. EPA Emission Factors for Greenhouse Gas Inventories; March 16, 2023 in our calculations.

**C8.2d**

(C8.2d) Provide details on the electricity, heat, steam, and cooling your organization has generated and consumed in the reporting year.

	Total Gross generation (MWh)	Generation that is consumed by the organization (MWh)	Gross generation from renewable sources (MWh)	Generation from renewable sources that is consumed by the organization (MWh)
Electricity	84488.8	84488.75	1322.5	1322.5
Heat	0	0	0	0
Steam	0	0	0	0
Cooling	0	0	0	0

**C8.2g**

(C8.2g) Provide a breakdown by country/area of your non-fuel energy consumption in the reporting year.

**Country/area**

Australia

**Consumption of purchased electricity (MWh)**

35

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

35

---

**Country/area**

Brazil

**Consumption of purchased electricity (MWh)**

17.4

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

17.4

---

**Country/area**

Canada

**Consumption of purchased electricity (MWh)**

5520.6

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

5520.6

---

**Country/area**

Czechia

**Consumption of purchased electricity (MWh)**

18.6

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

18.6

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**Country/area**

France

**Consumption of purchased electricity (MWh)**

222.9

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

222.9

---

**Country/area**

---

Germany

**Consumption of purchased electricity (MWh)**

823.7

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

823.7

---

**Country/area**

India

**Consumption of purchased electricity (MWh)**

4758.1

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

4758.1

---

**Country/area**

Ireland

**Consumption of purchased electricity (MWh)**

195.9

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

195.9

---

**Country/area**

Italy

**Consumption of purchased electricity (MWh)**

52.7

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

52.7

---

**Country/area**

Japan

**Consumption of purchased electricity (MWh)**

195.2

---



**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

195.2

---

**Country/area**

Netherlands

**Consumption of purchased electricity (MWh)**

82.6

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

82.6

---

**Country/area**

Hong Kong SAR, China

**Consumption of purchased electricity (MWh)**

387.9

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

387.9

---

**Country/area**

Philippines

**Consumption of purchased electricity (MWh)**

18.9

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**

0

**Consumption of self-generated heat, steam, and cooling (MWh)**

0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**

18.9

---

**Country/area**

Poland

**Consumption of purchased electricity (MWh)**

109.4

**Consumption of self-generated electricity (MWh)**

0

**Is this electricity consumption excluded from your RE100 commitment?**

<Not Applicable>

---

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

109.4

---

Country/area

Romania

Consumption of purchased electricity (MWh)

260

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

260

---

Country/area

Russian Federation

Consumption of purchased electricity (MWh)

160.7

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

160.7

---

Country/area

Singapore

Consumption of purchased electricity (MWh)

114.5

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

Total non-fuel energy consumption (MWh) [Auto-calculated]

114.5

---

Country/area

Sweden

Consumption of purchased electricity (MWh)

305.7

Consumption of self-generated electricity (MWh)

0

Is this electricity consumption excluded from your RE100 commitment?

<Not Applicable>

Consumption of purchased heat, steam, and cooling (MWh)

0

Consumption of self-generated heat, steam, and cooling (MWh)

0

---

**Total non-fuel energy consumption (MWh) [Auto-calculated]**  
305.7

---

**Country/area**  
United Kingdom of Great Britain and Northern Ireland

**Consumption of purchased electricity (MWh)**  
1868.9

**Consumption of self-generated electricity (MWh)**  
0

**Is this electricity consumption excluded from your RE100 commitment?**  
<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**  
0

**Consumption of self-generated heat, steam, and cooling (MWh)**  
0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**  
1868.9

---

**Country/area**  
United States of America

**Consumption of purchased electricity (MWh)**  
65835.5

**Consumption of self-generated electricity (MWh)**  
1322.5

**Is this electricity consumption excluded from your RE100 commitment?**  
<Not Applicable>

**Consumption of purchased heat, steam, and cooling (MWh)**  
0

**Consumption of self-generated heat, steam, and cooling (MWh)**  
0

**Total non-fuel energy consumption (MWh) [Auto-calculated]**  
67158

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## C9. Additional metrics

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### C9.1

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(C9.1) Provide any additional climate-related metrics relevant to your business.

## C10. Verification

---

### C10.1

---

(C10.1) Indicate the verification/assurance status that applies to your reported emissions.

	Verification/assurance status
Scope 1	Third-party verification or assurance process in place
Scope 2 (location-based or market-based)	Third-party verification or assurance process in place
Scope 3	Third-party verification or assurance process in place

### C10.1a

---

**(C10.1a) Provide further details of the verification/assurance undertaken for your Scope 1 emissions, and attach the relevant statements.**

**Verification or assurance cycle in place**

Annual process

**Status in the current reporting year**

Complete

**Type of verification or assurance**

Limited assurance

**Attach the statement**

FY23 Broadridge Verification Assurance Statement\_final.pdf

**Page/ section reference**

Please see pages 1-3 of the attached.

**Relevant standard**

ISO14064-3

**Proportion of reported emissions verified (%)**

100

---

**C10.1b**

---

**(C10.1b) Provide further details of the verification/assurance undertaken for your Scope 2 emissions and attach the relevant statements.**

**Scope 2 approach**

Scope 2 location-based

**Verification or assurance cycle in place**

Annual process

**Status in the current reporting year**

Complete

**Type of verification or assurance**

Limited assurance

**Attach the statement**

FY23 Broadridge Verification Assurance Statement\_final.pdf

**Page/ section reference**

Please see pages 1-3 of the attached.

**Relevant standard**

ISO14064-3

**Proportion of reported emissions verified (%)**

100

---

**C10.1c**

---

**(C10.1c) Provide further details of the verification/assurance undertaken for your Scope 3 emissions and attach the relevant statements.**

**Scope 3 category**

Scope 3: Purchased goods and services

Scope 3: Downstream transportation and distribution

Scope 3: End-of-life treatment of sold products

**Verification or assurance cycle in place**

Annual process

**Status in the current reporting year**

Complete

**Type of verification or assurance**

Limited assurance

**Attach the statement**

FY23 Broadridge Verification Assurance Statement\_final.pdf

**Page/section reference**

Please see pages 1-3 of the attached.

**Relevant standard**

ISO14064-3

**Proportion of reported emissions verified (%)**

100

---

C10.2

---

**(C10.2) Do you verify any climate-related information reported in your CDP disclosure other than the emissions figures reported in C6.1, C6.3, and C6.5?**  
No, but we are actively considering verifying within the next two years

C11. Carbon pricing

---

C11.1

---

**(C11.1) Are any of your operations or activities regulated by a carbon pricing system (i.e. ETS, Cap & Trade or Carbon Tax)?**  
No, and we do not anticipate being regulated in the next three years

C11.2

---

**(C11.2) Has your organization canceled any project-based carbon credits within the reporting year?**  
No

C11.3

---

**(C11.3) Does your organization use an internal price on carbon?**  
No, and we do not currently anticipate doing so in the next two years

C12. Engagement

---

C12.1

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**(C12.1) Do you engage with your value chain on climate-related issues?**  
Yes, our suppliers  
Yes, our customers/clients  
Yes, other partners in the value chain

C12.1a

---

**(C12.1a) Provide details of your climate-related supplier engagement strategy.**

**Type of engagement**

Other, please specify (Compliance with internal policy)

**Details of engagement**

Other, please specify (We have a Vendor Code of Conduct that we use commercially reasonable efforts to include in every vendor contract. The Vendor Code of Conduct is a key part of implementing our strategy to select vendors with sound environmental management principles.)

**% of suppliers by number**

100

**% total procurement spend (direct and indirect)**

100

**% of supplier-related Scope 3 emissions as reported in C6.5**

0

**Rationale for the coverage of your engagement**

We have a Vendor Code of Conduct (available at <https://www.broadridge.com/legal/vendor-code-of-conduct>) that we use commercially reasonable efforts to include in every vendor contract. Such code reflects the minimum standards by which we expect all vendors to follow in providing goods and/or services to Broadridge, and states the following: "Broadridge expects Vendor to support sound environmental management principles and reduce Vendor's impact on the environment within which Vendor operates. Vendor must comply with all laws relating to the protection of the environment which relate to Vendor's business. Vendor must also have a written sustainability/environmental policy appropriate to the size and nature of Vendor's operations." The Vendor Code of Conduct is a key part of implementing our strategy to select vendors with sound environmental management principles.

**Impact of engagement, including measures of success**

We have a Vendor Code of Conduct (available at <https://www.broadridge.com/legal/vendor-code-of-conduct>) that we use commercially reasonable efforts to include in every vendor contract. Such code reflects the minimum standards by which we expect all vendors to follow in providing goods and/or services to Broadridge, and states the following: "Broadridge expects Vendor to support sound environmental management principles and reduce Vendor's impact on the environment within which Vendor operates. Vendor must comply with all laws relating to the protection of the environment which relate to Vendor's business. Vendor must also have a written sustainability/environmental policy appropriate to the size and nature of Vendor's operations." The Vendor Code of Conduct is a key part of implementing our strategy to select vendors with sound environmental management principles.

**Comment**

---

**C12.1b**

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**(C12.1b) Give details of your climate-related engagement strategy with your customers.**

**Type of engagement & Details of engagement**

Education/information sharing	Share information about your products and relevant certification schemes (i.e. Energy STAR)
-------------------------------	---

**% of customers by number**

100

**% of customer - related Scope 3 emissions as reported in C6.5**

1

**Please explain the rationale for selecting this group of customers and scope of engagement**

Many of our customers are focused on emissions information and Scope 3 data. We work with our clients to provide them with such information related to Broadridge both as a company and with respect to the services we provide to our clients.

**Impact of engagement, including measures of success**

We respond to 100% of client requests regarding climate-related information.

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**C12.1d**

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**(C12.1d) Give details of your climate-related engagement strategy with other partners in the value chain.**

Broadridge supports a number of associate-led networks where associates with similar backgrounds and interests can find peer support, shape company policy and culture, receive mentorship from senior members, and develop their careers. Broadridge's newest associate network, BeGreen, was founded in April 2023. The group's mission is to engage all Broadridge associates that care about the environment to incorporate sustainability and a green mindset into their lives. BeGreen aims to provide a forum for associates to educate, encourage, and empower one another and provide practical steps we can take as individuals and within our communities to improve our sustainability. Events which the group has or will be looking to spearhead range from educational climate change panels, tree planting and environmental clean-up events.

We also engage with our employees during Earth Week and run numerous Earth Day awareness activities. These activities span the week encompassing April 22nd, featuring a different daily theme around environmental stewardship and awareness.

In certain locations, including our Edgewood and El Dorado Hills locations, we incentivize green travel among our employees. We have implemented electric vehicle charging stations at both production sites. Additionally, our partnership with Carpoolworld.com allows associates to sign up and find a commuting buddy in their area to travel to and from Broadridge workplaces. Any U.S.-based Broadridge associate is eligible to partake in our ride-share program. Broadridge also subsidizes a vanpool program with Enterprise and offer free rideshare programs through 50 Corridor for associates in its El Dorado Hills facility. Ridesharing reduces fossil fuel emissions and traffic congestion while providing alternative daily commuting options. Associates who ride-share are also eligible to register for our Travel Smart parking program. Our carpooling program reserves premium parking spots for registered associates. Environmentally friendly cars, as designated by New York State regulations, may also park in these coveted spaces.

**C12.2**

---

**(C12.2) Do your suppliers have to meet climate-related requirements as part of your organization's purchasing process?**

No, but we plan to introduce climate-related requirements within the next two years

**C12.3**

---

**(C12.3) Does your organization engage in activities that could either directly or indirectly influence policy, law, or regulation that may impact the climate?**

**Row 1**

**External engagement activities that could directly or indirectly influence policy, law, or regulation that may impact the climate**

Yes, we engage directly with policy makers

Yes, our membership of/engagement with trade associations could influence policy, law, or regulation that may impact the climate

**Does your organization have a public commitment or position statement to conduct your engagement activities in line with the goals of the Paris Agreement?**

Yes

**Attach commitment or position statement(s)**

SBT-Commitment-Letter KG signed vFINAL.pdf

**Describe the process(es) your organization has in place to ensure that your external engagement activities are consistent with your climate commitments and/or climate transition plan**

Members of our leadership team and ESG Committee monitor climate-related regulations and governance of climate change, including best practices relating to climate-related strategy, goals and practices and new rules and regulations for climate disclosure. To the extent new best practices or regulations relating to climate arise, our leadership team and ESG Committee assess whether engagement is necessary to ensure they are consistent with our continually developing climate strategy and goals.

**Primary reason for not engaging in activities that could directly or indirectly influence policy, law, or regulation that may impact the climate**

<Not Applicable>

**Explain why your organization does not engage in activities that could directly or indirectly influence policy, law, or regulation that may impact the climate**

<Not Applicable>

**C12.3a**

---

**(C12.3a) On what policy, law, or regulation that may impact the climate has your organization been engaging directly with policy makers in the reporting year?**

**Specify the policy, law, or regulation on which your organization is engaging with policy makers**

Broadridge has been engaging with the SEC and other organizations on climate policy, specifically the SEC's proposed climate disclosure rule. Senior leaders, including our Chief Legal Officer, have participated in industry groups on the topic.

**Category of policy, law, or regulation that may impact the climate**

Climate change adaptation

**Focus area of policy, law, or regulation that may impact the climate**

Planning

Other, please specify (Disclosure requirements)

**Policy, law, or regulation geographic coverage**

National

**Country/area/region the policy, law, or regulation applies to**

United States of America

**Your organization's position on the policy, law, or regulation**

Support with minor exceptions

**Description of engagement with policy makers**

Broadridge's Chief Legal Officer participates in meetings with the SEC and SEC Commissioners to express views on climate change disclosures.

**Details of exceptions (if applicable) and your organization's proposed alternative approach to the policy, law or regulation**

Please see the Working Group on Securities Disclosure Authority's Comments on Climate-Related Disclosures for Investors letter to the U.S. Securities and Exchange Commission dated June 16, 2022 available at <https://www.sec.gov/comments/s7-10-22/s71022-20131670-302060.pdf>.

**Have you evaluated whether your organization's engagement on this policy, law, or regulation is aligned with the goals of the Paris Agreement?**

Yes, we have evaluated, and it is aligned

**Please explain whether this policy, law or regulation is central to the achievement of your climate transition plan and, if so, how?**

<Not Applicable>

---

**C12.3b**

---

**(C12.3b) Provide details of the trade associations your organization is a member of, or engages with, which are likely to take a position on any policy, law or regulation that may impact the climate.**

**Trade association**

Other, please specify (Society for Corporate Governance)

**Is your organization's position on climate change policy consistent with theirs?**

Mixed

**Has your organization attempted to influence their position in the reporting year?**

Yes, and they have changed their position

**Describe how your organization's position is consistent with or differs from the trade association's position, and any actions taken to influence their position**

Broadridge is more supportive of climate change disclosure rules and Broadridge management attempts to influence the Society to be more supportive of the proposed climate change disclosure rules.

**Funding figure your organization provided to this trade association in the reporting year (currency as selected in C0.4)**

85000

**Describe the aim of your organization's funding**

We funded approximately \$85K in calendar year 2022 for membership fees and sponsorship of events related to Broadridge's business. The Company maintains memberships with a variety of trade associations for business and marketing purposes.

**Have you evaluated whether your organization's engagement with this trade association is aligned with the goals of the Paris Agreement?**

Yes, we have evaluated, and it is aligned

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**C12.4**

---



**(C12.4) Have you published information about your organization’s response to climate change and GHG emissions performance for this reporting year in places other than in your CDP response? If so, please attach the publication(s).**

**Publication**

In voluntary sustainability report

**Status**

Underway – previous year attached

**Attach the document**

broadridge-sustainability-report-2022.pdf

**Page/Section reference**

Please see the entire report.

**Content elements**

- Governance
- Strategy
- Risks & opportunities
- Emissions figures
- Other metrics

**Comment**

Please see Broadridge's 2022 Sustainability Report ([https://www.broadridge.com/\\_assets/pdf/broadridge-sustainability-report-2022.pdf](https://www.broadridge.com/_assets/pdf/broadridge-sustainability-report-2022.pdf)) and Environmental webpage (<https://www.broadridge.com/about/sustainability/environmental>) to learn more.

**C12.5**

**(C12.5) Indicate the collaborative frameworks, initiatives and/or commitments related to environmental issues for which you are a signatory/member.**

	Environmental collaborative framework, initiative and/or commitment	Describe your organization’s role within each framework, initiative and/or commitment
Row 1	Task Force on Climate-related Financial Disclosures (TCFD) Other, please specify (United Nations Sustainable Development Goals, Sustainability Accounting Standards Board (SASB))	Broadridge's reporting aligns to the following disclosure frameworks: United Nations Sustainable Development Goals (SDGs), Sustainability Accounting Standards Board (SASB) and Taskforce on Climate related Financial Disclosures (TCFD). Please see our 2022 Sustainability Report and ESG webpage at <a href="https://www.broadridge.com/about/sustainability/">https://www.broadridge.com/about/sustainability/</a> for more information.

**C15. Biodiversity**

**C15.1**

**(C15.1) Is there board-level oversight and/or executive management-level responsibility for biodiversity-related issues within your organization?**

	Board-level oversight and/or executive management-level responsibility for biodiversity-related issues	Description of oversight and objectives relating to biodiversity	Scope of board-level oversight
Row 1	Please select	<Not Applicable>	<Not Applicable>

**C15.2**

**(C15.2) Has your organization made a public commitment and/or endorsed any initiatives related to biodiversity?**

	Indicate whether your organization made a public commitment or endorsed any initiatives related to biodiversity	Biodiversity-related public commitments	Initiatives endorsed
Row 1	Please select	<Not Applicable>	<Not Applicable>

**C15.3**

**(C15.3) Does your organization assess the impacts and dependencies of its value chain on biodiversity?**

**Impacts on biodiversity**

Indicate whether your organization undertakes this type of assessment

**Value chain stage(s) covered**

<Not Applicable>

**Portfolio activity**

<Not Applicable>

**Tools and methods to assess impacts and/or dependencies on biodiversity**

<Not Applicable>

Please explain how the tools and methods are implemented and provide an indication of the associated outcome(s)

<Not Applicable>

**Dependencies on biodiversity**

Indicate whether your organization undertakes this type of assessment

**Value chain stage(s) covered**

<Not Applicable>

**Portfolio activity**

<Not Applicable>

**Tools and methods to assess impacts and/or dependencies on biodiversity**

<Not Applicable>

Please explain how the tools and methods are implemented and provide an indication of the associated outcome(s)

<Not Applicable>

C15.4

**(C15.4) Does your organization have activities located in or near to biodiversity- sensitive areas in the reporting year?**

C15.5

**(C15.5) What actions has your organization taken in the reporting year to progress your biodiversity-related commitments?**

	Have you taken any actions in the reporting period to progress your biodiversity-related commitments?	Type of action taken to progress biodiversity- related commitments
Row 1	Please select	<Not Applicable>

C15.6

**(C15.6) Does your organization use biodiversity indicators to monitor performance across its activities?**

	Does your organization use indicators to monitor biodiversity performance?	Indicators used to monitor biodiversity performance
Row 1	Please select	Please select

C15.7

**(C15.7) Have you published information about your organization's response to biodiversity-related issues for this reporting year in places other than in your CDP response? If so, please attach the publication(s).**

Report type	Content elements	Attach the document and indicate where in the document the relevant biodiversity information is located
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C16. Signoff

C-FI

**(C-FI) Use this field to provide any additional information or context that you feel is relevant to your organization's response. Please note that this field is optional and is not scored.**

C16.1

(C16.1) Provide details for the person that has signed off (approved) your CDP climate change response.

	Job title	Corresponding job category
Row 1	President	President



# LRQA Independent Assurance Statement

Relating to Broadridge Financial Solution, Inc.'s Greenhouse Gas Inventory for the 2023 fiscal year

This Assurance Statement has been prepared for Broadridge Financial Solution, Inc. in accordance with our contract.

## Terms of Engagement

LRQA was commissioned by Broadridge Financial Solution, Inc. (Broadridge) to provide independent assurance of its greenhouse gas (GHG) emissions inventory (the Report) for the fiscal year 2023 (July 1, 2022 to June 30, 2023) against the assurance criteria below to a limited level of assurance and materiality of the professional judgement of the verifier using LRQA's verification procedure and ISO 14064 - Part 3 for greenhouse gas emissions. LRQA's verification procedure is based on current best practise and is in accordance with ISAE 3000 and ISAE 3410.

Our assurance engagement covered Broadridge's global operations and specifically the following requirements:

- Verifying conformance with:
  - World Resources Institute / World Business Council for Sustainable Development Greenhouse Gas Protocol: A corporate accounting and reporting standard, revised edition (otherwise referred to as the WRI/WBCSD GHG Protocol) for the GHG data<sup>1</sup>.
- Evaluating the accuracy and reliability of data and information for only the selected indicators listed below:
  - Direct (Scope 1), Energy Indirect (Scope 2) and Other Indirect (Scope 3) GHG emissions.
    - Scope 3 GHG emissions verified by LRQA only include Category 1: Purchase Goods and Services; Category 9: Downstream Transportation and Distribution; and Category 12: End-of-Life Treatment of Sold Products

Aside from the Scope 3 categories mentioned above, our assurance engagement excluded the data and information of Broadridge's contractors and any third-parties mentioned in the report.

LRQA's responsibility is only to Broadridge. LRQA disclaims any liability or responsibility to others as explained in the end footnote. Broadridge's responsibility is for collecting, aggregating, analysing and presenting all the data and information within the Report and for maintaining effective internal controls over the systems from which the Report is derived. Ultimately, the Report has been approved by, and remains the responsibility of Broadridge.

## LRQA's Opinion

Based on LRQA's approach nothing has come to our attention that would cause us to believe that Broadridge has not, in all material respects:

- Met the requirements of the criteria listed above; and
- Disclosed accurate and reliable performance data and information as summarized in Table 1 below.

The opinion expressed is formed on the basis of a limited level of assurance<sup>2</sup> and at the materiality of the professional judgement of the verifier.

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<sup>1</sup> <http://www.ghgprotocol.org/>

<sup>2</sup> The extent of evidence-gathering for a limited assurance engagement is less than for a reasonable assurance engagement. Limited assurance engagements focus on aggregated data rather than physically checking source data at sites. Consequently, the level of assurance obtained in a limited assurance engagement is lower than the assurance that would have been obtained had a reasonable assurance engagement been performed.



**Table 1. Summary of Broadridge’s Key Data for FY2023:**

Scope of GHG emissions	Tonnes CO <sub>2</sub> e
Scope 1 GHG emissions	12,468
Scope 2 GHG emissions (Location-based)	38,129
Scope 2 GHG emissions (Market-based)	38,129
Scope 3 - Category 1: Purchase Goods and Services	567,888
Scope 3 - Category 9: Downstream Transportation and Distribution	710,186
Scope 3 - Category 12: End-of-Life Treatment of Sold Products	14,933
Note 1: Scope 2, Location-based and Scope 2, Market-based are defined in the WRI/WBCSD GHG Protocol Scope 2 Guidance, 2015	

**LRQA’s Approach**

LRQA’s assurance engagements are carried out in accordance with our verification procedure. The following tasks were undertaken as part of the evidence gathering process for this assurance engagement:

- reviewing processes related to the control of GHG emissions data and records;
- interviewing relevant employees of the organization and the third-party consultants responsible for managing GHG emissions data and records;
- assessing Broadridge’s data management systems to confirm they are designed to prevent significant errors, omissions or mis-statements in the Report; and
- verifying data and records at an aggregated level for the fiscal year 2023.

**LRQA’s Standards and Competence**

LRQA implements and maintains a comprehensive management system that meets accreditation requirements for ISO 14065 Greenhouse gases – Requirements for greenhouse gas validation and verification bodies for use in accreditation or other forms of recognition and ISO/IEC 17021 Conformity assessment – Requirements for bodies providing audit and certification of management systems that are at least as demanding as the requirements of the International Standard on Quality Control 1 and comply with the Code of Ethics for Professional Accountants issued by the International Ethics Standards Board for Accountants.

LRQA ensures the selection of appropriately qualified individuals based on their qualifications, training and experience. The outcome of all verification and certification assessments is then internally reviewed by senior management to ensure that the approach applied is rigorous and transparent.



Signed

Dated: July 14, 2023

*Davina Rahman*

Davina Rahman  
LRQA Lead Verifier  
On behalf of LRQA, 1 Trinity Park, Bickenhill Lane, Birmingham, UK

LRQA reference: UQA00002128

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