

Accelerate SBL with the Broadridge end-to-end SBL Platform

Securities-based lending (SBL) programs are valuable sources of revenue for wealth managers and help to retain AUM. Centralized, manual processes and lack of accurate client information impedes sales. As SBL growth continues, wealth organizations need ways to streamline and enable the advisor sales channel within a multi-platform ecosystem. We can deliver intelligent SBL automation and data-driven oversight to fast track your SBL business, integrated into your existing technology and business architecture.

OPTIMIZE YOUR SBL BUSINESS

The Broadridge SBL Platform automates the SBL process – from prospecting to payment requests – through an API-driven platform that integrates with your upstream and downstream systems. Deliver actionable insights directly to your existing advisor dashboard, automate loan workflow and release management and provide seamless consolidation of collateral information for both in-house and held-away assets.

Reimagined loan fulfillment

Originate and fund SBL loans in 48 hours or less with a scalable, straight-forward process that integrates SBL with CRM and loan origination within your lending ecosystem to ensure a consistent advisor experience.

Accurate portfolio evaluations

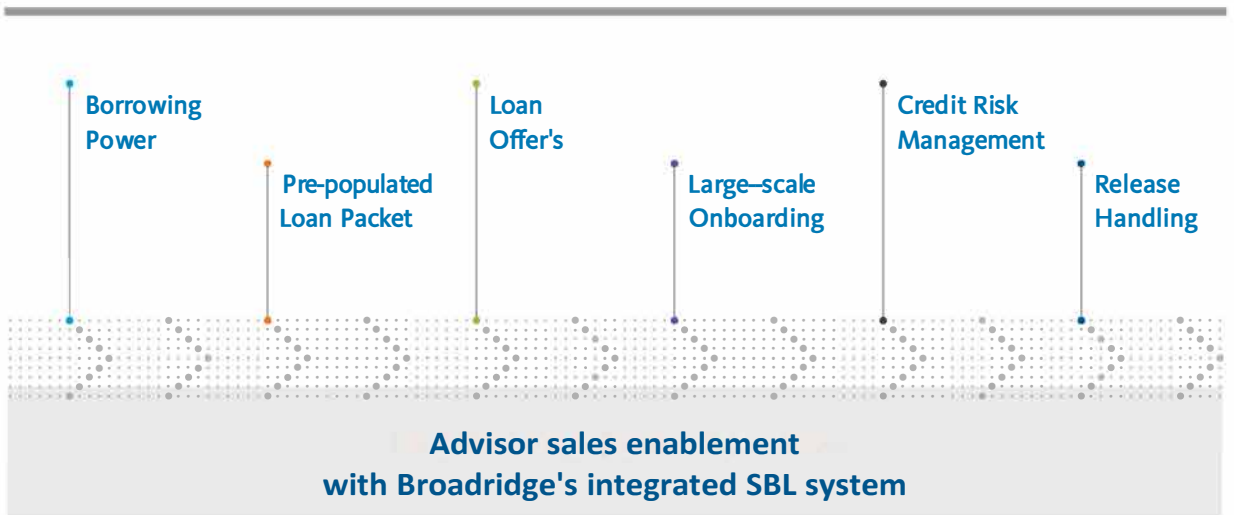
Exceed expectations by accessing end-of-day pricing for an unmatched range of securities and asset classes.

Client-level loan views

Accelerate decisioning and aggregate essential credit data for a digitized client experience. Get ready for self-service.

Rapid-response risk management

Use our risk-triage tools to manage simple and complex loans with confidence and efficiency.



Identify prospects based on machine-learning evaluation of portfolio, account and collateral information.



Prequalify borrowers and offer competitive loan terms, including which asset classes can be leveraged.



Facilitate origination with pre-populated loan packets and e-signing capability.



Enable advisors to stress test at the local loan level to give clients peace of mind.

Access data that drives business forward



ACCELERATE GROWTH

The Broadridge SBL Platform provides lenders with a competitive edge to offer SBL in a streamlined, automated way through the exiting technology and business architecture. Utilize Broadridge's unique machine learning* capabilities to deliver a pre-qualified sales pipeline direct to your advisors.

- Optimize the sales channel
- Accelerate adoption
- Maximize account penetration

SIMPLIFY LENDING

Eliminate manual information gathering and approval steps. Useful information and insights are aggregated and fed directly into the sales process. Sustained support for the advisor through the sales cycle, driving efficient, cost-effective sales that are in the clients' best interests.

- Ease of use
- Actionable insights
- Next best actions

DELIVER RESULTS

Our integrations puts complex data at your fingertips. Consolidate rich client data plus collateral information for both in-house and held-away assets, with portfolio positions for all types of liquid assets.

- Provide client-level insights direct to the advisor
- Improve the back office for superior front office operations
- Eliminate friction throughout the lending ecosystem.

AUTOMATE FOR SMARTER SBL BUSINESS

Deploy faster with readily accessible, critical information wherever you need that information to live. The Broadridge SBL Platform is built to integrate with your core systems. Limited effort for set up.

- Use our APIs to integrate with internal and external system
- Rely on enterprise-grade security and gold-standard Infosec protocols
- Remain agile with accurate, market-driven insights and trends.

THE NEXT GENERATION API-DRIVEN SBL SOLUTION

Enable the sales channel with automated SBL integrated into your core systems. Present the data your advisors need directly on their dashboard. Seamlessly manage and accelerate SBL at every step.



Present loan terms backed by pricing matrices provided by the financial institution.



Deliver enhanced CX with reduced paperwork and pre-populated applications.



Apply credit checks via integration with bank-approved systems and processes.



Facilitate straight-through processing with auto-generated loan documents and e-signatures.



Eliminate manual information-gathering and approval steps with auto-decisioning and exception-based underwriting.

DISCOVER HOW TO OPTIMIZE YOUR SBL BUSINESS

Visit us online today, or email Jeff Leone at Jeff.Leone@broadridge.com.

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