

Streamline summary prospectus compliance

SINGLE-SOURCE SOLUTION LINKS, LAYERS AND OPTIMIZES WEB PRESENTMENT

- Comply with SEC rule 30e-3 and Summary Prospectus regulations
- Choose from three flexible options to support your hosting needs
- Offer web-enabled access to documents across all major mobile devices
- “EDGAR check” to ensure the most up-to-date documents are supplied
- Create a seamless branded user experience with easy-to-use templates

SIMPLIFY THE PROCESS; MINIMIZE YOUR COSTS

Firms that manage compliance distribution in-house often utilize time-intensive manual processes. Broadridge offers an automated approach that's easier, cheaper and investor-friendly. We keep up to date with technological advances, web requirements, regulatory changes and EDGAR filings. You'll leverage efficiencies and economies of scale with an industry utility built on a reliable, fully redundant platform that firms everywhere trust.

Choose the solution that fits your needs: Your Broadridge linking and layering website can include EDGAR HTML filings, your branded PDFs or both.

Full document coverage: Link prospectuses, statutory and summary; Shareholder Reports and Quarterly Portfolio Holdings (per SEC rule 30e-3); Statements of Additional Information (SAIs); and all applicable supplements.

Optimized viewer experiences: Provide efficient one-click linking between and within documents across every device.

Seamless integration: White-label presentment maintains your branding to deliver a consistent look and feel. Investors stay on your site; there's no need to redirect them.

Regulatory compliance: Deliver a web experience fully aligned with content, format, navigation and other requirements set forth by SEC rule 30e-3 and the Summary Prospectus rule.

Technology updates: Stay abreast of web browser changes and updates while benefiting from Broadridge expertise, bandwidth and economies of scale.

Business continuity: Our stable, secure platform runs 24/7 with redundant systems and ISO certifications.

BENEFIT FROM OUR SPECIALIZATION

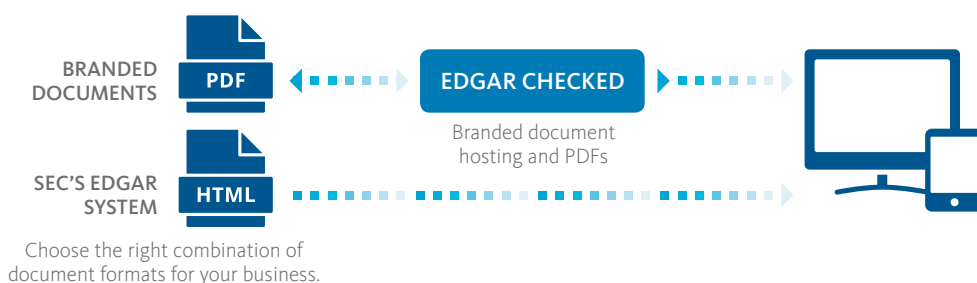
Discover more ways to streamline compliance and drive greater savings. Call your Broadridge representative today.

EFFICIENT REGULATORY COMPLIANCE

One-click linking between related documents

Readable, printable, downloadable document availability 24/7

Fulfills XBRL web hosting requirements



Communications
Technology
Data and Analytics



broadridge.com

Ready for Next