



Building even greater trust this proxy season

End to end proxy vote confirmation will be a reality for nearly 3,000+ public companies this year.

Each year, the U.S. proxy voting ecosystem processes and tabulates votes for shares held in mutual funds and equity operating companies. With few if any exceptions, the system works exceedingly well—over 99.85% of the shares voted through Broadridge are accepted by tabulators in their summations of final voting results.

Recently, representatives of the many participants in the ecosystem agreed to a set of protocols they created together to ensure that every investor who is entitled to vote will know that their votes were counted.

Working group members will provide vote confirmation for annual meetings of Fortune 500 companies in 2022. This will lead to even greater trust in the U.S. proxy system.

In addition, Broadridge recently announced that it will extend vote confirmation services for an additional 2,000+ meetings where Broadridge acts as the tabulator.

The group also agreed to do a small pilot (20 companies) to test protocols for “early stage entitlement reconciliation.”

USER-FRIENDLY AND MOBILE ENABLED

Shareholders can view their vote confirmations through Broadridge’s popular ProxyVote.com platform, used by millions of retail shareholders each year. ProxyVote.com and the ProxyVote mobile app make it easy for shareholders to view proxy materials, vote, and confirm their votes in only a few taps and clicks. (Broadridge’s ProxyEdge platform will continue to provide vote confirmation to institutional investors and financial advisers.)

THE PATHWAY TO INNOVATION

The U.S. proxy ecosystem supporting corporate governance requires a high degree of collaboration during the proxy solicitation window.

Broadridge developed vote confirmation in concert with representatives of the proxy ecosystem in a working group that was chaired by the Society for Corporate Governance and the Council of Institutional Investors. The group invited input and commitment from representatives of custodian banks, broker-dealers, issuers, institutional investors, other proxy tabulators, transfer agents, and the proxy services provider community.

The new services are being implemented without the need for new rules by the SEC.

ARE YOU READY THIS PROXY SEASON?

As shareholder expectations evolve, so does the roll of technology in engaging them. That's why we continue to innovate new tools and resources to help you communicate. From virtual shareholder meetings to enhanced proxy design to full digital proxy experiences, it's never been easier to engage shareholders in annual meetings.

Our tools help you transform basic disclosures into compelling shareholder communications that get your message across. You can better spotlight your strategy and your story, and make complex policies easier to understand and vote on. We make it simpler with flexible, cost-effective designs and professional templates that can be customized with your logo and brand.

Our digital proxy services help you take it one step further. Now you can deliver an organized, fresh, and fully digital experience, while providing vital information to your institutional and retail shareholders. Digital proxy experiences help improve participation, avoid additional solicitation costs, and showcase your brand, your message, and your management — all online.

DISCOVER MORE WAYS TO TRANSFORM THE SHAREHOLDER EXPERIENCE.

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Go further. With Broadridge

An integrated approach to shareholder communications and regulatory disclosures, driven by innovation and accountability.

Corporate Issuers and law firms rely on us for their investor and shareholder communications and regulatory disclosures. Our end-to-end, integrated solutions simplify their workload, provide budget clarity and deliver results.



Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with \$5 billion in revenues, provides the critical infrastructure that powers investing, corporate governance, and communications to enable better financial lives. We deliver technology-driven solutions that drive business transformation for banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. Our technology and operations platforms underpin the daily trading of more than U.S. \$9 trillion of equities, fixed income and other securities globally.

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