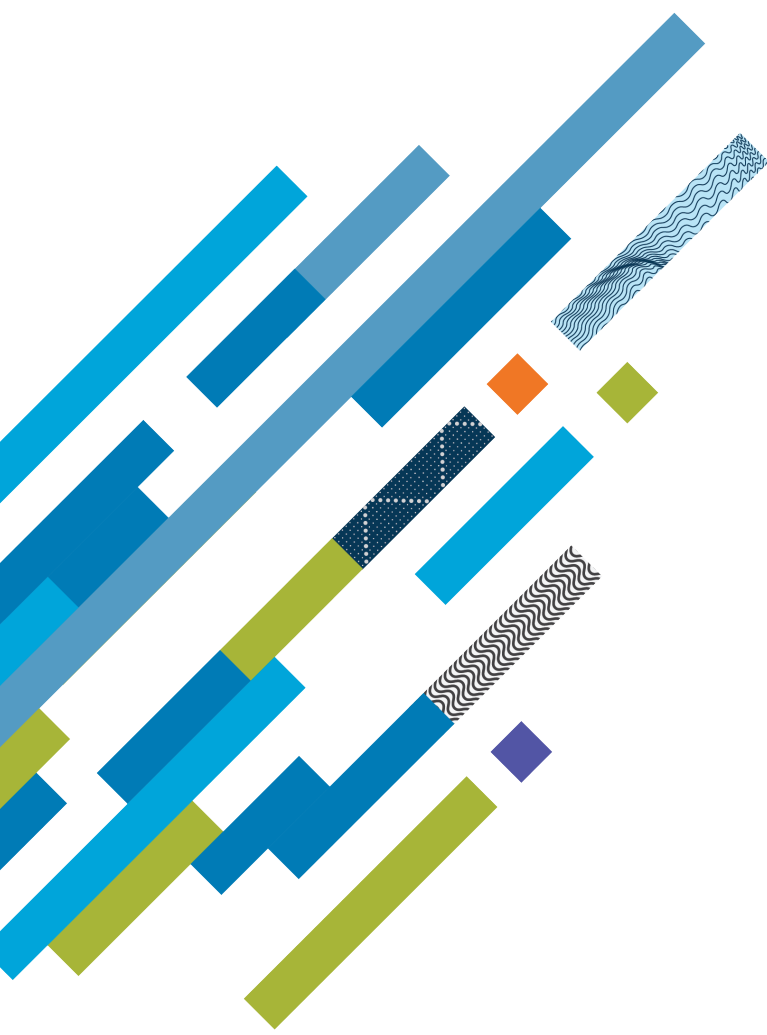


Broadridge Securities Finance and Collateral Management





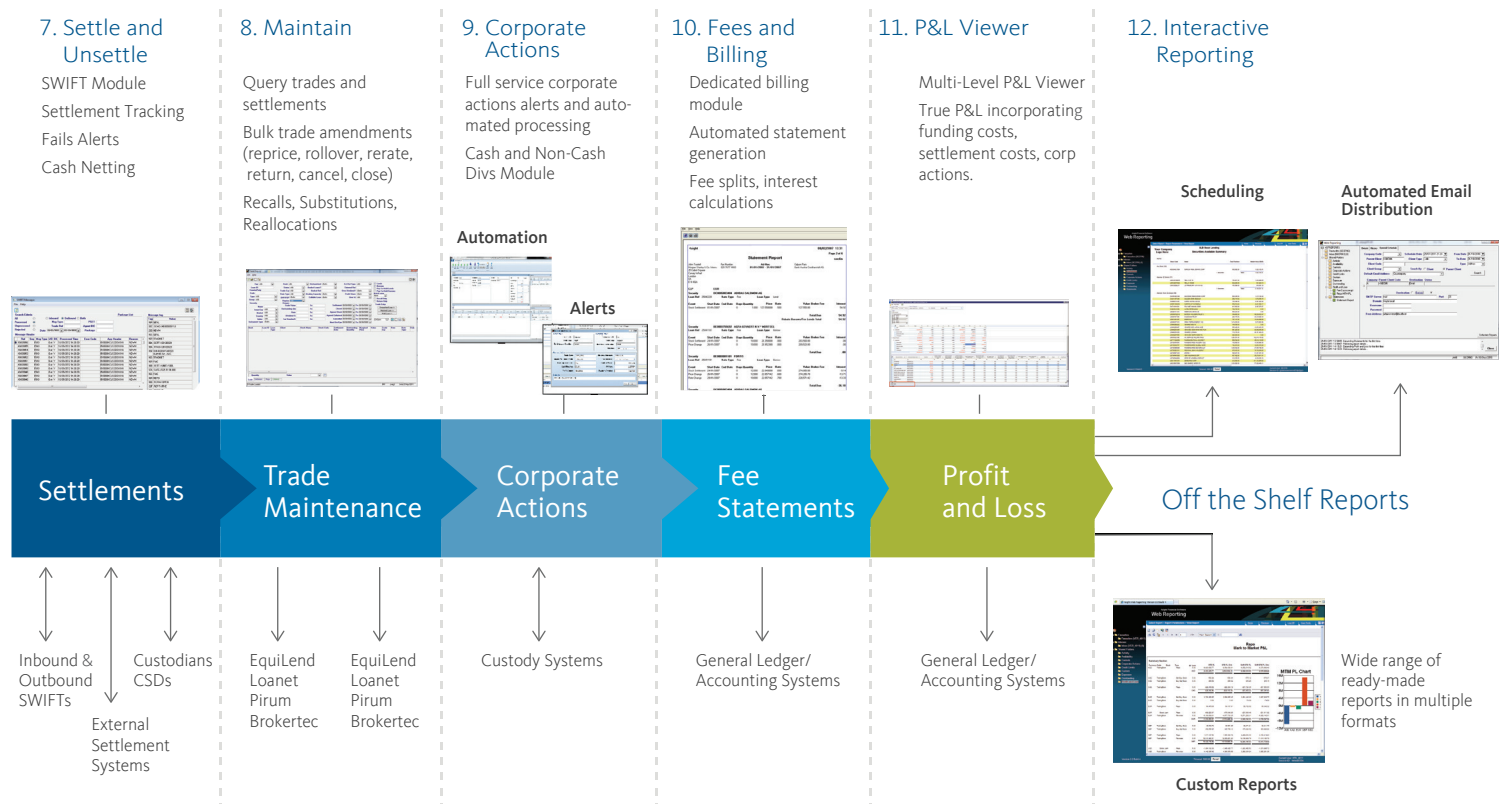
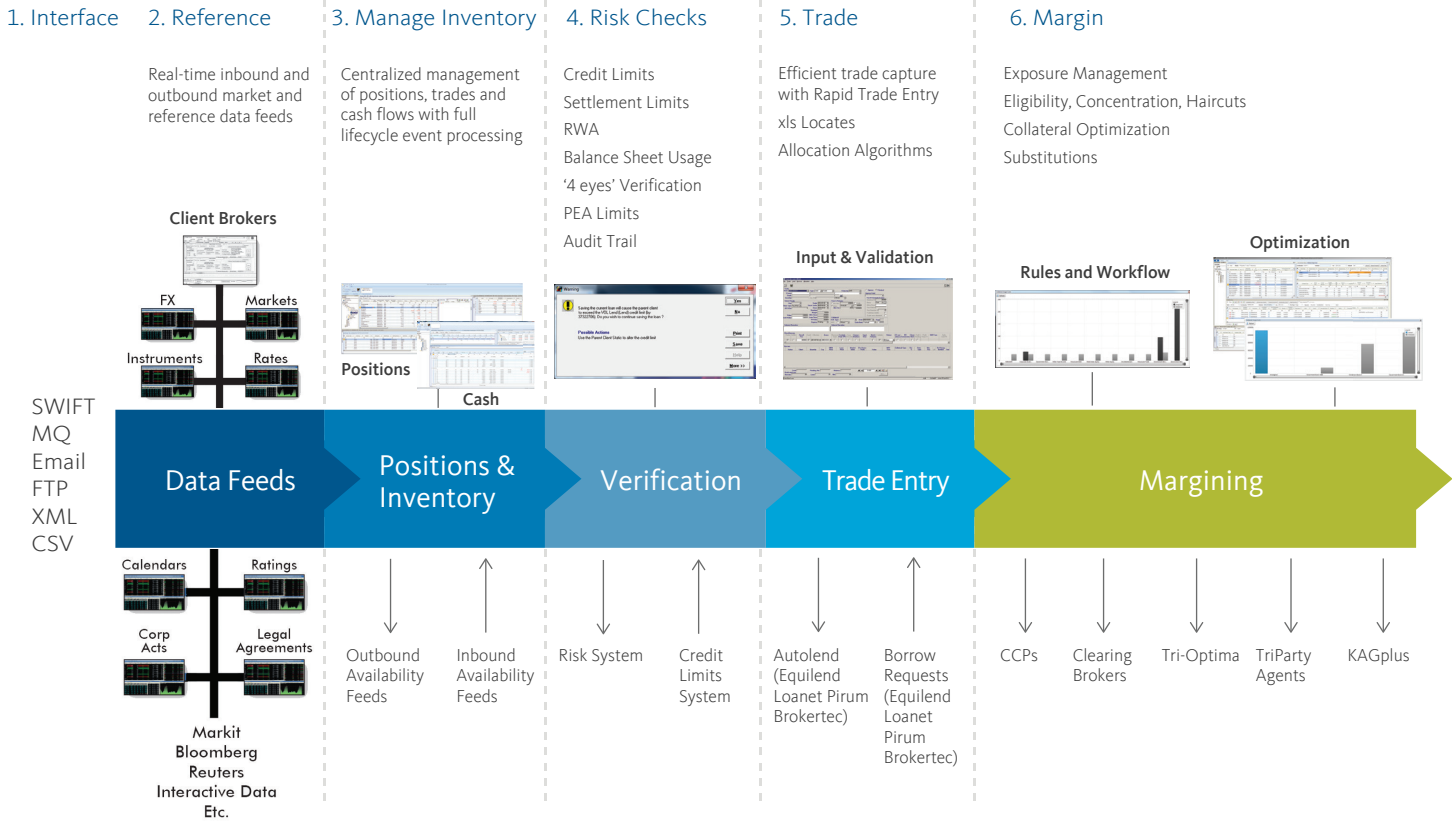
The Broadridge Securities Finance and Collateral Management Solution product suite provides an integrated front-to-back office solution for financial institutions of all sizes.

The solution is a real-time, multi-currency system for all Securities Finance trade types. It helps smaller direct lenders through to global custodians, brokers or intermediaries to manage the Securities Finance process more easily.

Broadridge's Securities Finance solution supports both agency and principal trading of equities and fixed income securities. The product began life in 1996 when it was installed at our first client, a global custodian lender that is still a Broadridge customer.

Clients around the globe use Broadridge's software to meet their business needs, including some of the world's largest financial institutions and we offer many years of experience implementing successful projects.

BROADRIDGE SECURITIES FINANCE AND COLLATERAL MANAGEMENT



FASTER TRADING

Rapid trade entry features allow you to create trades more quickly, with less manual effort and fewer errors. Views of projected P&L at trade entry allow you to see instantly how profitable each trade will be.

A user-friendly global inventory manager helps you to view long and short positions at any level of your company. Colour coded warnings indicate hot stocks, corporate actions and pay to holds, making it easy to see what is happening in the future.

LIFECYCLE AUTOMATION

The system provides you with a solution to manage the trade lifecycle more easily. It supports the full lifecycle from collateral management and corporate actions processing to settlement.

Simple views of trade events, bulk processing and recalls management help you gain a reputation for operational efficiency among your clients and counterparts.

GLOBAL PROCESSING

Broadridge Securities Finance meets the demands of global organizations trading over multiple desks. The system is scalable and supports multi-company, multi-currency and multi-market processing.

IMPROVE COLLATERAL MANAGEMENT

The Broadridge Collateral Management module helps you to manage collateral more efficiently and integrates fully with Broadridge Securities Finance.

The system provides control over collateral for securities lending, repo and OTC derivatives. It gives you the tools you need to quickly gain a clear picture of your exposures and increase control over risk.

MANAGE YOUR WORKLOAD MORE EASILY

The system provides a workflow tool to view day-to-day tasks and organize your workload. You can set automatic alerts of key events, while managers can use the module to manage the workflow of their staff.

Broadridge, a global Fintech leader with over \$4.5 billion in revenues and part of the S&P 500® Index, provides communications, technology, data and intelligence. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth.

broadridge.com



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ENHANCE COMMUNICATION

Web based reporting allows you to run, schedule, email and automate all of your reports. You can create your own reports, customize existing ones and add your branding to them to meet your internal and external requirements.

You can also improve transparency and customer service by giving your clients access to run their own reports.

EASY INTEGRATION

Broadridge integrates easily with third party systems and electronic platforms. This increases straight through processing and reduces trading costs. Our open database model makes it easy to extract data in any required format.

You can feed market data into the system from any source and send trade and settlement data to downstream solutions.

The system can integrate with risk management, settlement and accounting systems, trading platforms, data benchmarking services and triparty collateral managers.

BROADRIDGE CONSULTING SERVICE (BCS)

Broadridge Consulting Services (BCS) provides a global network of expert professionals to act as design experts, project managers, business analysts, project management office (PMO), developers and testers.

Broadridge can help you to streamline your securities finance business processes, adapt the project roll out to your business model, and address additional business challenges following go-live.



Ready for Next

Communications
Technology
Data and Analytics