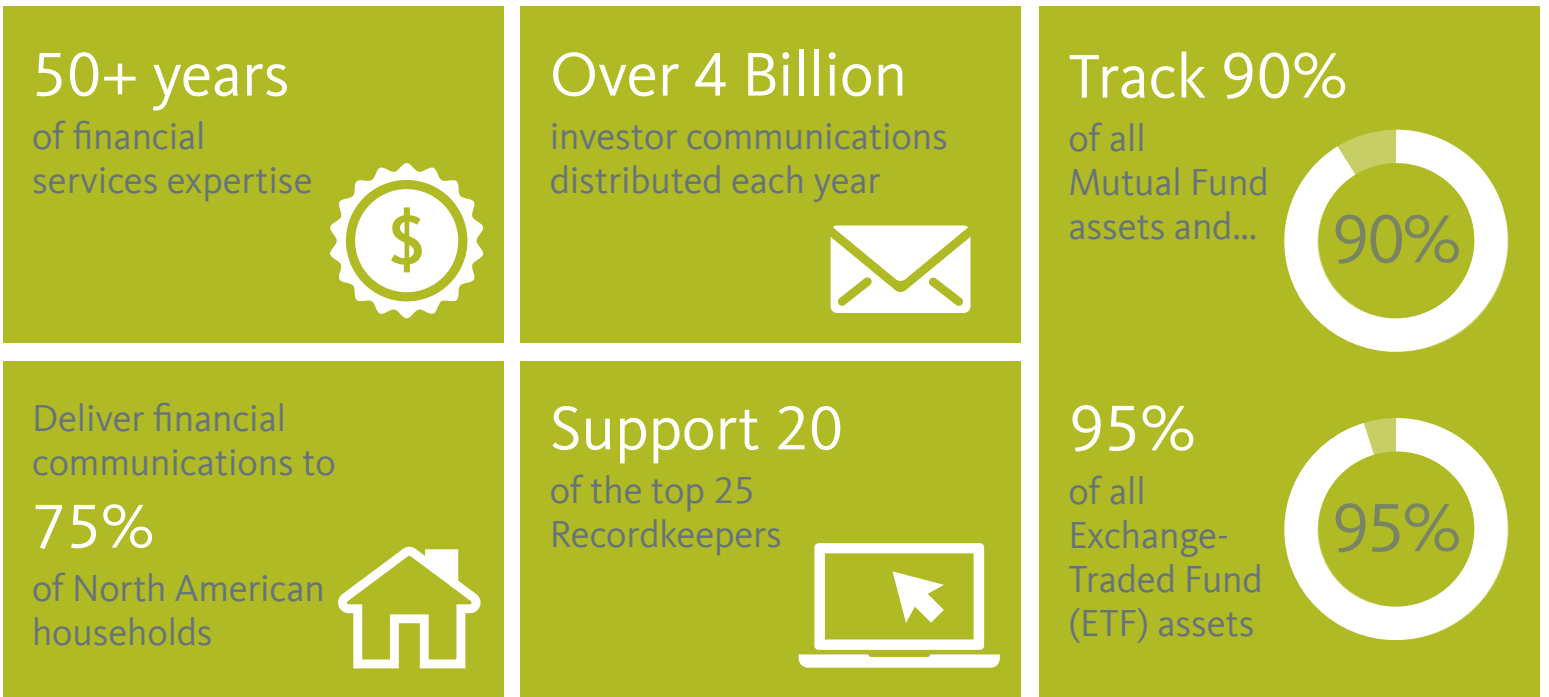


# Why Broadridge? Your Partner for Fiduciary Compliance

As the industry evolves to new fiduciary standards, only one partner helps drive operational excellence to manage risk, accelerate growth and deliver real business value.

## Expertise



## Capabilities

The end-to-end solutions that can turn compliance into your competitive advantage.



### Validate

- Fund Suitability
- Share Class Suitability
- IRA Rollovers



### Communicate

- Real-time Disclosure
- Multichannel Delivery
- Secure Document Archival



### Comply

- Compensation Management
- Account Reconciliation
- Commission Payments and Billing

## Connections

With relationships across the financial services sector, Broadridge boasts a 97% client revenue retention rate.



## Insights

Your single source for the latest trends, information and best practices.

DOL, Best Interest and Fiduciary Resource Center:  
[broadridge.com/DOL-solutions](http://broadridge.com/DOL-solutions)

