

NYFIX Matching

ENABLE T+0 POST-TRADE PROCESSING TO IMPROVE OPERATIONAL EFFICIENCY AND REDUCE COSTS

By leveraging the NYFIX network and FIX protocol, NYFIX Matching facilitates intraday allocations and streamlines post-trade processing, while mitigating end-of-day risk.

With NYFIX Matching, the buy-side can allocate and affirm trades with brokers and prime brokers and arrange for payment and settlement with their custodians through one consolidated platform that is part of the industry's largest FIX-based infrastructures for multi-asset trading.

ENHANCE PORTFOLIO RETURNS THROUGH LOWER POST-TRADE COSTS

- Provides a disruptive commercial flat-fee model for allocations and affirmations
- Moves away from proprietary vendor protocols since FIX is a market standard supported by all trading systems
- Seamlessly integrates to your order management system
- Fully-hosted solution within the secure NYFIX data center infrastructure



SHORTEN SETTLEMENT CYCLE FOR REGULATORY COMPLIANCE

- Dramatically reduce settlement fails with more granular matching and tolerance levels for SSIs, fees and trade details
- Anticipate issues and reduce end-of-day pressure with real-time block and allocation level matching
- Identify if a trade has been matched or mismatched within seconds to support T+0 risk reduction
- Harmonize your post-trade operations by centralizing allocations and confirmations for U.S. and international equities, futures, swaps, ETFs and fixed income securities

LEVERAGE NYFIX TO MITIGATE RISK

- Over 1,800 market participants are already part of the NYFIX Marketplace and have benefited from zero service disruption
- Modernize your middle office technology with real-time FIX-based matching and allocation workflows
- Access additional services through the NYFIX Marketplace for best execution and trade reporting

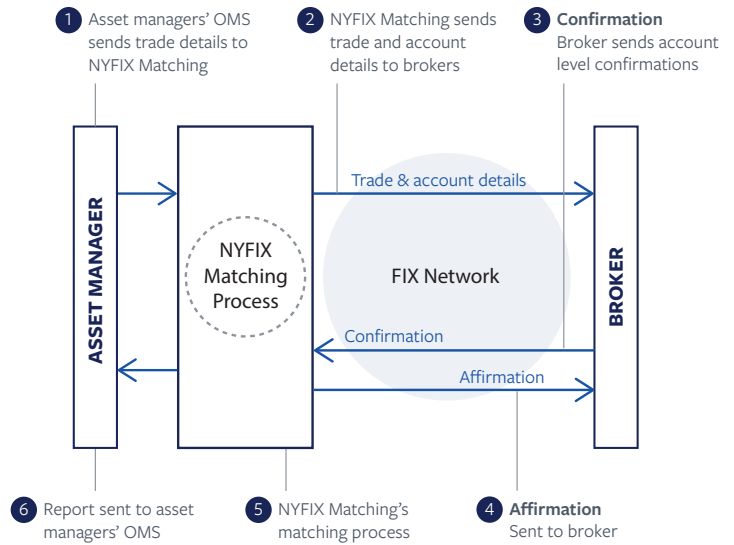
BROADRIDGE TRADING AND CONNECTIVITY SOLUTIONS

Acquired by Broadridge in May 2021, Itiviti is now Broadridge Trading and Connectivity solutions. Our combined offering enables simplification and streamlining of front and middle office functions, powering connectivity and multi-asset trading across global markets.

NYFIX Matching Workflow

KEY FEATURES

- Multi-asset class platform for equity, derivatives, options, swaps, fixed income and FX
- Consolidated platform to aggregate all domestic and international matching
- Unified workflow and single web-based user-interface to cover all your trading systems
- Near real-time processing
- Identify and resolve time and personnel savings issues
- Large market adoption leveraging FIX protocol
- Confirmation reports via PDF Publisher
- Cloud-based solution on a hosting infrastructure
- Payment processing and settlement to custodian banks with SWIFT notifications



Reduce Costs by Matching Trades in FIX

Hosted matching and affirmations.

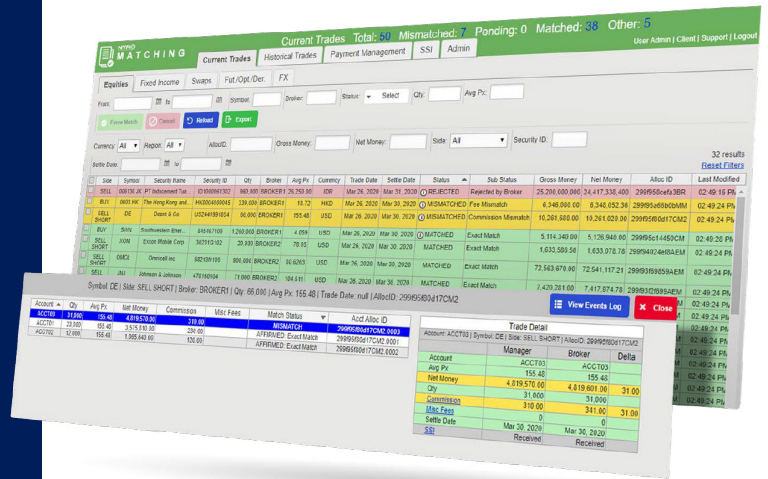
Cost-effective model.

Early detection and resolution of issues.

Deliver full STP and stability.

Industry standard FIX protocol.

On-the-fly adjustments.



Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with \$5 billion in revenues, provides the critical infrastructure that powers investing, corporate governance, and communications to enable better financial lives. We deliver technology-driven solutions that drive business transformation for banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. Our technology and operations platforms underpin the daily trading of more than U.S. \$9 trillion of equities, fixed income and other securities globally.

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