


Captivate clients. Capture the market.

Integrated wealth management solutions
for every part of your business.





Unprecedented connectivity and information access have transformed expectations. Wealth managers who find ways to deliver anytime access, memorable interactions and uncomplicated products will realize accelerated growth and profitability.

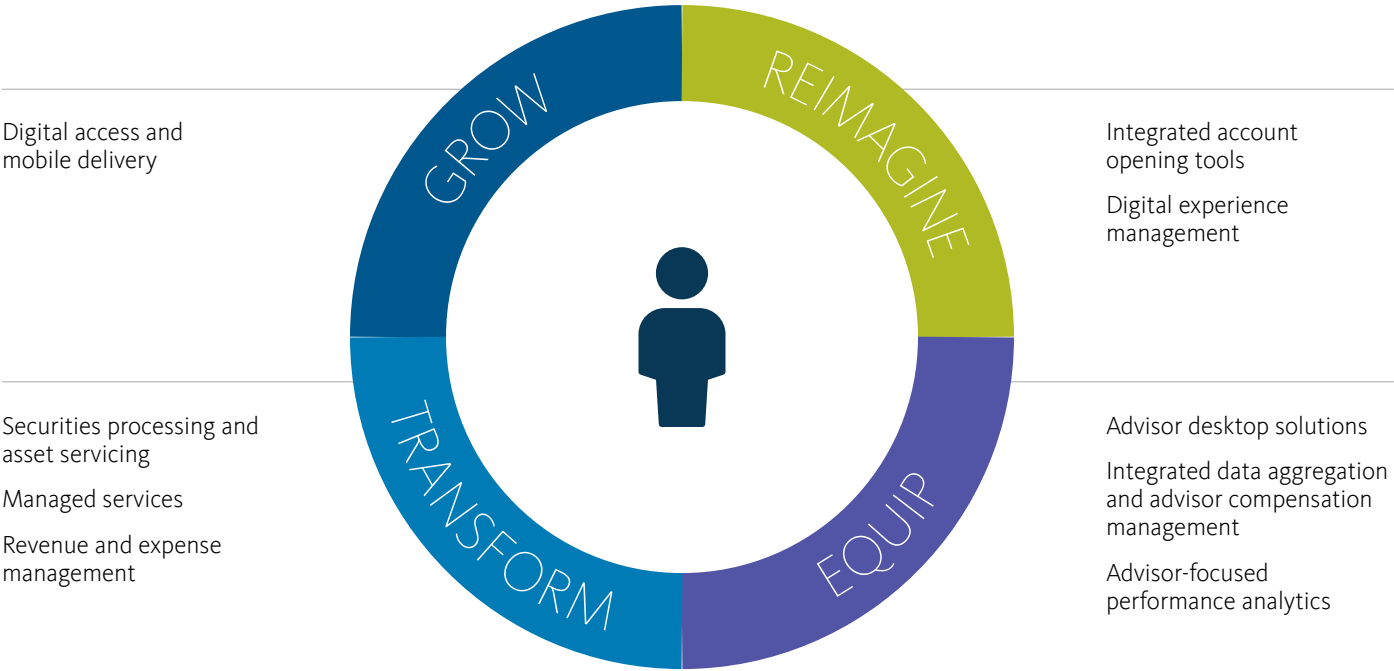
Client and advisor expectations are rising. Now, you can surpass them.

Wealth managers understand market disruption. Advisor attrition and rapidly-changing investor behaviors have changed the landscape. Margin pressure and complex regulations make it even harder to compete.

These challenges demand a fully integrated forward-thinking approach. Front office service delivery relies on back office efficiency. Advisor support teams need smoother workflows and seamless data access to make it all work together.

Broadridge designs every wealth management solution to maximize productivity across the entire business, so you can deliver an unmatched client experience. Our end-to-end, data-driven technologies boost advisor performance and enhance transparency for improved compliance and client satisfaction. We make it easy to initiate more meaningful, sophisticated interactions — adding value at every touchpoint.

Step up to the connected, client-centric enterprise.



Awarded “Best Breadth of Functionality” by Celent

“Broadridge wealth solution is a true front-to-back solution offering robust modules... Broadridge demonstrates a dedication to developing its digital proposition and empowering the advisor and client through, for example, its three-pronged implementation approach of AI and strong mobile apps.”

— XCelent Awards 2017

Grow your wealth business faster.

In an era of rapid change and intense competition, Broadridge can help you stand apart. Our marketing solutions leverage artificial intelligence and cognitive technologies proven to engage prospects and capitalize on existing networks. Personalized communication tools and strategies help build relationships, loyalty and trust. Now, you can establish your digital presence, expand your reach and attract new prospects across a wide range of channels.

ADVANCED PROSPECTING AND COGNITIVE TOOLS

Gain new clients by leveraging data-driven insights and social media solutions.



DIGITAL ACCESS AND MOBILE DELIVERY

Build your brand with websites that offer enterprise controls and advisor customization.



Reimagine the client experience.

There's no one-size-fits-all approach to client engagement. It's all about choice, flexibility, self-service and customization. Now, you can meet clients on their terms. State-of-the-art client-enablement tools, on-demand mobile connectivity and a white-label wealth portal will transform the way advisors work with clients. Inspire confidence with investor-advisor interactions built on transparency and collaboration.

INTEGRATED ACCOUNT OPENING TOOLS

Enrich the experience with compliant, paperless onboarding to grow your book of business fast.

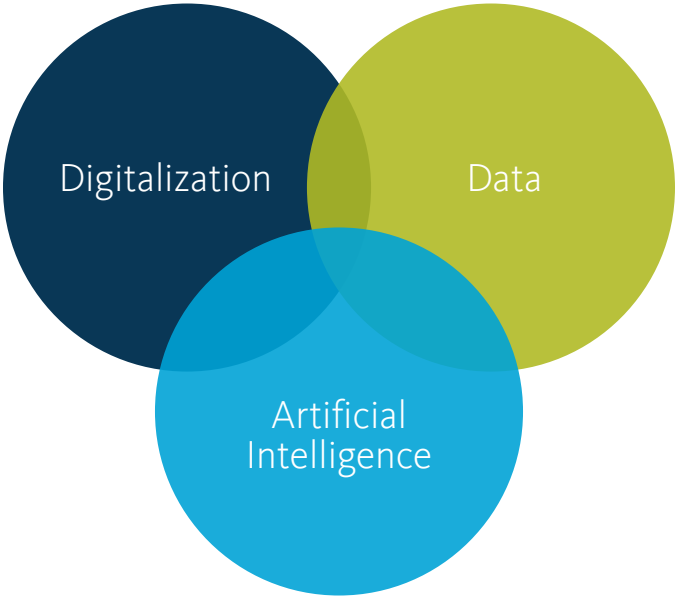


DIGITAL EXPERIENCE MANAGEMENT

Turn static documents into dynamic, interactive experiences that make it easy for clients to act.



Industry-leading technology brings together:



WE'VE GOT YOU COVERED.

The top 20 North American wealth providers are served by Broadridge.

FRONT OFFICE

33% of all North American wealth management firms utilize our solutions.

MIDDLE OFFICE/ADVISOR SUPPORT

225,000+ advisors and agents supported by Broadridge data aggregation solutions.

BACK OFFICE

50+ million accounts serviced on our trade processing platforms.

\$7+ trillion in assets custodied on our global platforms.

Equip advisors to deliver unrivaled client service.

Clients expect accurate information and actionable advice. With Broadridge, you can give your advisors — and your clients — easy access to the best insights. Our industry-leading data aggregation and financial reporting solutions help you attract and retain top advisors by providing the tools they need to succeed. When you maximize advisor productivity they have more time to focus on client service and acquiring new business.

ADVISOR DESKTOP SOLUTIONS

Integrate with best-in-class financial planning tools, deliver real-time data and insights and manage all client communications from a single, powerful platform.



INTEGRATED DATA AGGREGATION AND ADVISOR COMPENSATION MANAGEMENT

Automate performance reporting and minimize manual processing to maximize transparency and compliance.



ADVISOR-FOCUSED PERFORMANCE ANALYTICS

Gain a single view of client, AUM and held-away assets. Then, enable advisors to execute advanced trading based on client goals, assets and market research.

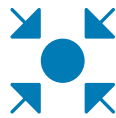


Transform back office operations.

At Broadridge, we recognize that the best client service relies on a smooth, intelligent back office. Modernize your business, so you can execute mission-critical operations with greater speed at lower cost. Now, you can manage risk and compliance, improve controls and support business growth across the entire enterprise.

SECURITIES PROCESSING AND ASSET SERVICING

Unify post-trade processing across multiple asset classes, markets and entities to minimize the cost of regulatory compliance.



MANAGED SERVICES

Work smarter, work faster by utilizing a wide range of consulting, advisor-support and operational services.



REVENUE AND EXPENSE MANAGEMENT

Improve expense calculation accuracy and streamline invoicing to gain capital efficiency and deeper insight.



Modernize your entire enterprise.

By leveraging Broadridge operational expertise, you can offload routine processes, so that internal staff can focus exclusively on revenue-generating activities.

Ask your Broadridge representative how managed services can maximize your firm's core financial management capabilities and improve profitability.

A smarter approach to wealth management.

End-to-end solutions built on more than a half century of industry experience and expertise.

FRONT OFFICE

- Customized Advisor Websites
- Distributed Marketing Solution
- Predictive Analytics

MIDDLE OFFICE

- Compliance Solutions for Mutual Funds, Revenue & Expense, Reconciliations & Archival
- Global Securities Class Action Processing
- Advisor Compensation
- Data Aggregation
- Reference Data Solutions

BACK OFFICE

- Beneficial Proxy Services (North America & Global)
- Business Process Automation
- Digital Delivery
- Global Equity Trade Processing
- Global Fixed Income Trade Processing
- Connectivity to Clients, Counterparties & Market Infrastructures
- FX Trade Processing & Order Management
- Document Management, Composition & Archival
- Performance Dashboards & Operational Reports
- Print Delivery & Postal Optimization
- Mutual Fund Trading Platform
- Securities Financing & Collateral Management
- Corporate Action Solutions
- Document Management Portals
- Wealth Insights Database
- Marketing Tools
- Digital Experience Management
- Revenue & Expense Management
- Tax Services & Data
- Performance Reporting
- Wealth Data & Analytics
- Wealth Workstation
- Client Preference & Profile Management

CONTACT US TODAY

Step up to the connected, client-centric enterprise.
Call +1 800 353 0103.

CONNECTING PEOPLE, TECHNOLOGY AND INSIGHTS.

Broadridge, a global fintech leader with over \$9 billion* in market capitalization, provides communications, technology, data and analytics solutions. We help drive business transformation for our clients with solutions for enriching client engagement, navigating risk, optimizing efficiency and generating revenue growth. *As of November 2017

broadridge.com

Ready for Next

Communications
Technology
Data and Analytics

