

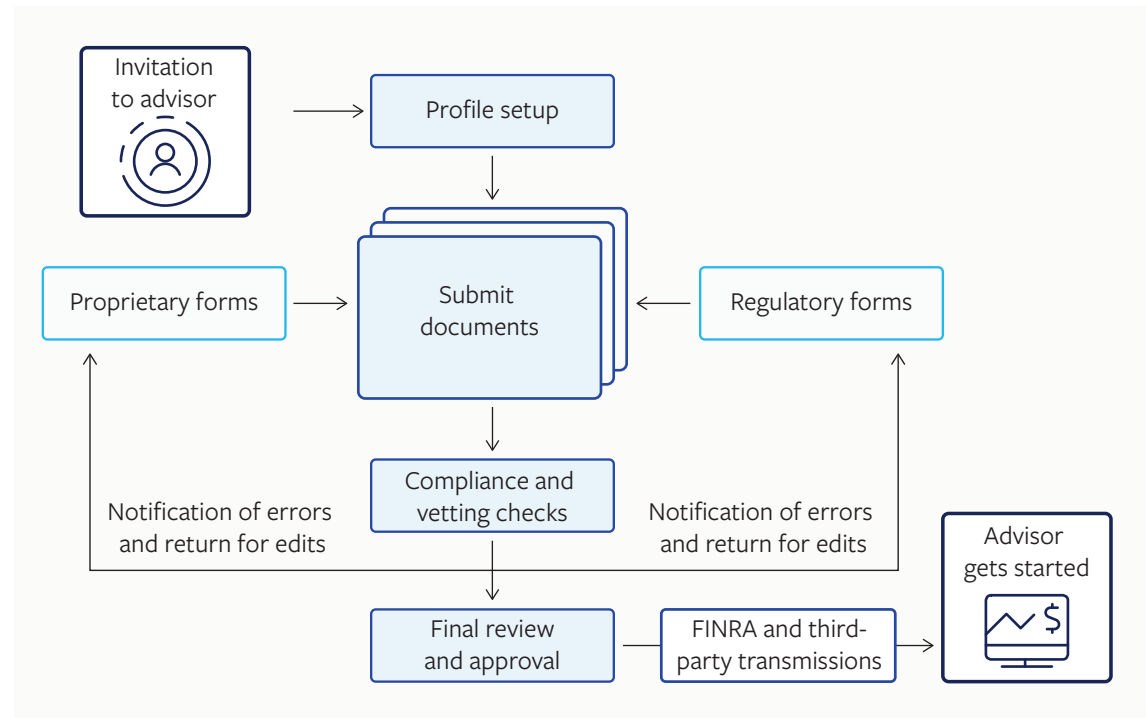
# Streamline your advisor onboarding on a single platform

## Accelerate onboarding, save time, and stand out from the rest

Advisor recruitment drives your business. Accelerate smoother, smarter onboarding workflows—all in a single, digital platform. Broadridge Advisor Onboarding enables your firm to provide applicants with a transparent, streamlined process so your new advisors can begin producing faster. Track completion of digital forms and documents, expedite onboarding checks, and customize to your requirements. Only Broadridge has the expertise to make advisor onboarding your strategic asset.

Onboarding new advisors is granular and time-consuming, and often diverts resources away from other priorities. Adopting an intelligent and unified approach to the onboarding experience transforms it into a seamless and effortless process for everyone involved.

Enhance your onboarding with an easy process and the ability to monitor applicants' progress at every stage of recruitment. Broadridge Advisor Onboarding provides your firm with a differentiating experience and comprehensive tools to accelerate time to first trade.



Automate data collection by using a digital wizard to gather necessary information and automatically fill out regulatory and firm-specific documents.



Integrate with internal and third-party systems by connecting effortlessly with platforms like FINRA for real-time data registration and license management.



Receive automatic notifications to inform relevant parties about advisor progress, task completions, pending approvals, or required actions.

# Differentiate your firm with increased efficiency

Faster onboarding is key to ensuring you recruit top advisors, accelerate their productivity, and keep operations smooth and costs low. By streamlining onboarding processes, you can cut down on time spend on routine tasks and save money by avoiding errors and delays.

## Tailor your onboarding process



### Track completion of critical forms and documents

Present and monitor completion of all required regulatory and proprietary forms, such as confidentiality and arbitration agreements, attestations and more. A simple, permissions-based checklist process makes it easy to ensure all information has been entered and documents are in place.



### Expedite background and other onboarding checks

Provide advisors with digital access to your firm's preferred providers for imaging, background and credit checks, fingerprinting and more. Easily specify onboarding paths by position type, firm hierarchy and other key factors.



### Customize to your requirements

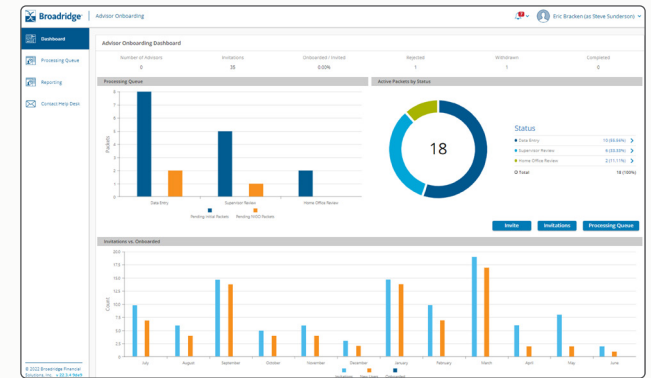
From inputs to third-party integrations such as Salesforce, Broadridge Advisor Onboarding makes it easy to tailor the system to work for your firm.



### Onboard and manage in one place

Once advisors are onboarded, you can use the same dashboard to manage ongoing licensing and registrations.

Gain full visibility through real-time dashboards



Maintain efficiency with our streamlined processing queue

Package ID	REP NUMBER	REP NAME	REP TYPE	DIVISION	STATUS	LAST UPDATED	DATE
GR000001	1001	John Doe	RA	100	Approved - Supervisor Approved	08/14/2022	08/14/2022
GR000002	1002	Mary Jane Smith	RA	100	Home Office Review - Transaction Pending	08/14/2022	08/14/2022
GR000003	1003	John Doe	RA	100	Supervisor Review - Home Office Returned	08/14/2022	08/14/2022
GR000004	1004	John Doe	RA	100	Data Entry - Supervisor Returned	08/14/2022	08/14/2022
GR000005	1005	John Doe	RA	100	Home Office Review - Transaction Pending	08/14/2022	08/14/2022
GR000006	1006	Mary Jane Smith	RA	100	Data Entry	08/14/2022	08/14/2022
GR000007	1007	John Doe	RA	100	Home Office Review - Supervisor Hold/Down	08/14/2022	08/14/2022
GR000008	1008	John Doe	RA	100	Supervisor Review	08/14/2022	08/14/2022
GR000009	1009	John Doe	RA	100	Data Entry - Supervisor Returned	08/14/2022	08/14/2022
GR000010	1010	John Doe	RA	100	Home Office Review	08/14/2022	08/14/2022
GR000011	1011	John Doe	RA	100	Home Office Review	08/14/2022	08/14/2022
GR000012	1012	John Doe	RA	100	Supervisor Review	08/14/2022	08/14/2022
GR000013	1013	John Doe	RA	100	Data Entry	08/14/2022	08/14/2022
GR000014	1014	John Doe	RA	100	Data Entry	08/14/2022	08/14/2022
GR000015	1015	John Doe	RA	100	Data Entry	08/14/2022	08/14/2022
GR000016	1016	John Doe	RA	100	Data Entry	08/14/2022	08/14/2022
GR000017	1017	John Doe	RA	100	Supervisor Review	08/14/2022	08/14/2022

## Contact us

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