

Asset servicing, reimagined

Harmonize operations across the entire asset servicing lifecycle powered by a single source of truth

Drive accuracy, transparency, and efficiency with the industry's only single source end-to-end asset servicing solution across capital markets, wealth and asset management, and global custody. Broadridge Global Asset Servicing innovates across the entire asset lifecycle — from data, tax, and actions to proxy and reporting. Gain seamless interoperability to eliminate workarounds and transform operations.

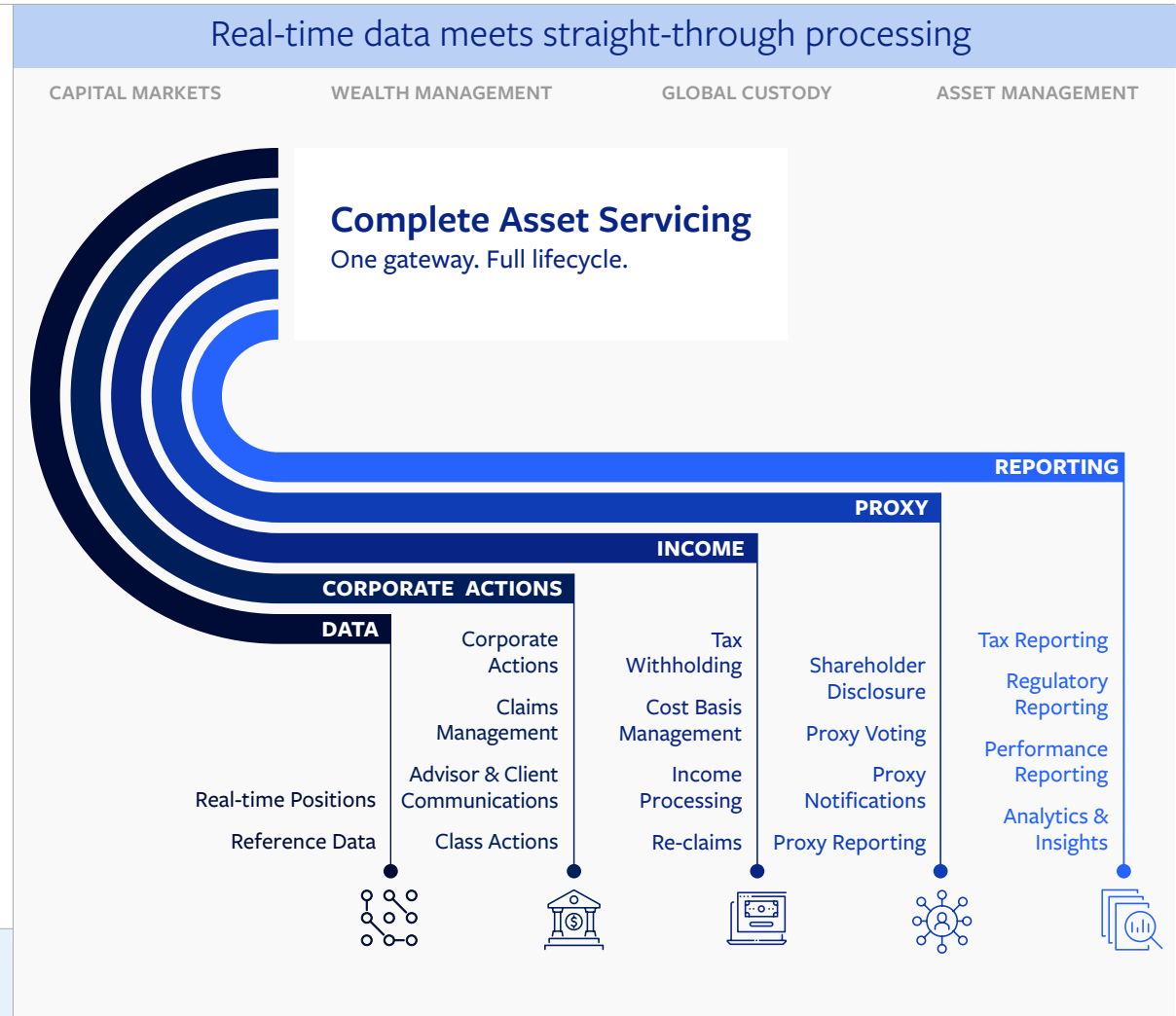
Add every capability you need.

For too long, the industry has relied on fragmented asset servicing point solutions that require complex integrations to each other. Broadridge Global Asset Servicing puts full lifecycle asset servicing capabilities on a single platform, reducing friction and undue risk. Modular in design and with unique ease of integration, it allows you to transform on your terms. Choose the capabilities you need today with confidence that you can quickly and easily deploy further capabilities as you later require.

Streamline once. Scale up forever.

Many firms have felt the disruption of upgrading one aspect of their operations, only to find a dated solution in a different area holding them back. Our sweeping end-to-end approach to asset servicing removes all weak links at once and ensures efficient interoperability for today and tomorrow.

A single entry point with the flexibility to add components lets you innovate forward, confidently solving for new challenges within your evolving OpEx budgets and business goals. Each API-driven component powers your ability to create value, reduce risk, and scale in the face of rising complexity and demand for real-time operations. All with full digital integration with clients and markets.



Real-time data meets straight-through processing

CAPITAL MARKETS

WEALTH MANAGEMENT

GLOBAL CUSTODY

ASSET MANAGEMENT

Complete Asset Servicing

One gateway. Full lifecycle.

REPORTING

PROXY

INCOME

CORPORATE ACTIONS

DATA

Real-time Positions

Reference Data

Corporate Actions

Claims Management

Advisor & Client Communications

Class Actions

Tax Withholding

Cost Basis Management

Income Processing

Re-claims

Shareholder Disclosure

Proxy Voting

Proxy Notifications

Proxy Reporting

Tax Reporting

Regulatory Reporting

Performance Reporting

Analytics & Insights



There's no time to wait

The switch is on. Upcoming challenges like T+0 require unprecedented speed and connectivity. You must improve data, actions, income, proxy, and reporting functions to fortify your asset servicing ecosystem — and maintain your competitive edge.

Single way in

Buy one module to begin an easy, API-driven integration journey.

Incremental data model

Fill gaps with every module added and create a golden source of data.

Real-time dataset

Perform rapid, accurate T+1 (and, ultimately, T+0) reporting.

Broadridge Global Asset Servicing: Imagine the power of more

More time. More resources. More growth. How much further will you be able to go with the solution that does it all? Every facet of our asset servicing solution is underpinned by industry-leading real-time data and automation capabilities. By plugging them into the entire asset ecosystem, you can get more done — fast. Forgo costly errors and back-and-forth between business functions and let your teams focus on creating value for clients.



DATA Reliable, timely, secure

COMPONENTS:
REFERENCE DATA,
REAL-TIME POSITIONS

Set the foundation for comprehensive asset servicing with a real-time, single source of data, user interface, and enhanced data stream.

- Run your systems from a single data source and “prime copy” for all securities data.
- Achieve accurate coverage for all of your listed and OTC security types.
- Empower your teams to collaborate over insights and make informed decisions every step of the way.
- Deliver a superior and faster client experience with more accurate data.



ACTIONS Never leave money on the table again

COMPONENTS: CORPORATE ACTIONS,
CLAIMS MANAGEMENT, ADVISOR AND
CLIENT COMMUNICATIONS, CLASS ACTIONS

- Transform investor engagement with a one-stop communications and workflow suite.
- Quickly identify, file for, and recover money with unmatched accuracy.
- Ensure that intercompany claims correctly pair off and find workflow and relief at source.
- Streamline asset recovery from monitoring and filing to validation and payment distribution.
- Improve elections monitoring and investor engagement.
- Apply automatic payment matching to ensure accuracy.
- Rely on proven industry veterans and technology that support over 950 global organizations and \$8.5T in monthly actions.



INCOME Increase efficiency and transparency

COMPONENTS: TAX WITHHOLDING,
COST BASIS MANAGEMENT, INCOME
PROCESSING, RE-CLAIMS

- Access a single, end-to-end tax reporting and compliance solution based on data accuracy.
- Benefit from lower cost of ownership with ultra-scalable, cloud-hosted technology.
- Build compliance confidence using a wide-ranging valuation and position reporting tool.
- Leverage the industry’s most accurate payment matching and upfront tax reconciliation system.



PROXY Streamline investor engagement

COMPONENTS: SHAREHOLDER
DISCLOSURE, PROXY VOTING, PROXY
NOTIFICATIONS, PROXY REPORTING

- Take advantage of the market’s most complete, secure, and accurate turnkey solution.
- Enhance complex processes for distribution, presentment, voting, tabulation, and reporting.
- Host self-service actions on an intuitive online portal and holistic customer dashboard.
- Stay compliant and automate daily operations with comprehensive report mining capabilities.
- Eliminate manual data entry and time-consuming reconciliations and realize fewer errors.



REPORTING Ensure compliance and eliminate manual tasks

COMPONENTS: TAX REPORTING,
REGULATORY REPORTING, PERFORMANCE
REPORTING, ANALYTICS AND INSIGHTS

- Obtain consents, manage delivery preferences, archive documents, and integrate electronic/print communications with omnichannel document delivery.
- Shadow your administrator’s reports and calculations and remove data silos.
- Make informed decisions using next best action and trend analysis tools.
- Utilize the most comprehensive tax reference data in the industry with flexible corrections management during the post-season.



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Take asset servicing into tomorrow with the real-time,
integrated platform that evolves as you scale.

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