

Political Contributions

We believe it is in the best interests of Broadridge Financial Solutions, Inc. (the “Company”) and its stockholders to engage constructively and responsibly in the public policy and political process to advance and protect the long-term interests of the Company. Therefore, we participate in the development of public policy that addresses issues affecting our industry, business, products, clients, associates and communities. We do so in various ways, including educational outreach to elected officials on key public policy issues related to the Company’s business, facilitating voluntary political giving by eligible associates and directors through the Broadridge Financial Solutions Political Action Committee (the “Broadridge PAC”), and membership in trade associations to help advance our business objectives. The Company’s political activities and related spending reflects the interests of the Company and its stockholders, and not those of any individual director, officer or associate.

The Board of Directors has adopted a Political Contributions Policy to help ensure that any political contributions and expenditures are done in a manner consistent with the Company’s commitment to the highest standards of ethics and business integrity and to protect and enhance stockholder value. See Broadridge’s Political Contributions Policy at www.broadridge.com/resource/political-contributions-disclosure.


The Company’s Board of Directors has oversight responsibility for: (1) Broadridge PAC spending, corporate expenditures to influence public policy impacting our business and dues and other contributions to trade associations and (2) Broadridge lobbying priorities and activities.

It is the Company’s policy that no Company resources, including the use of Company premises, equipment or property, or Company funds, may be contributed to any federal, state or local political candidate, political committee (other than for the administrative or solicitation expenses of the Broadridge PAC, as permitted by law), political party, state ballot measure committee or to any other organization for the purpose of attempting to influence elections or ballot measures. Additionally, it is the Company’s policy to not make contributions to Internal Revenue Code section 501(c)(4) social welfare organizations or Internal Revenue Code section 527 organizations not registered with the Federal Election Commission or any state campaign finance regulator (“527 organizations”).

- The Company had made no federal, state or local political contributions in calendar year 2023.
- The Company did not contribute to any Internal Revenue Code section 501(c)(4) or 527 organizations in calendar year 2023.

The Broadridge PAC was formed in 2010 and began accepting contributions in 2020. All contributions to and by the Broadridge PAC are fully and publicly disclosed in reports filed with the Federal Election Commission and can be viewed on the website of the Federal Election Commission at: www.fec.gov/data/committee/C00478107.

- As of December 31, 2023, the Broadridge PAC had a balance of \$107,700 after making a total of \$32,000 in disbursements in calendar year 2023.



The Company engages in lobbying on both the federal and state levels to advocate our positions on issues that affect the Company, our industry, business, products, clients, associates and communities. While the Company itself is not a registrant under the federal Lobbying Disclosure Act (“LDA”), it does retain lobbying firms to engage public officials on its behalf, whose identities, LDA registrations and reports are publicly available at lda.senate.gov/filings/public/filing/search/?registrant.

- As of December 31, 2023, the Company’s aggregate lobbying expenditures were \$370,000 in calendar year 2023.

The Company maintains memberships with a variety of trade associations to promote and protect the economic future of Broadridge and its stockholders and associates. Set forth below are the names of trade associations that received annual payments from Broadridge of at least \$50,000 in calendar year 2023:

American Business Conference Inc.
Conference Board Inc.
Financial Services Institute
Futures Industry Association
Money Management Institute
National Association of Corporate Directors
NICSA
Shareholder Services Association
SIFMA
Society of Corporate Governance
U.S. Chamber of Commerce
World Economic Forum