

Streamline credit research and decisions

Manage research from idea to execution

Broadridge Research Manager streamlines investment decision-making processes, from idea to execution, and stores all supporting analysis, numbers, documentation, and rationales. It manages the distribution of internal research and commentary, as well as research that is not integrated with your current trading activity and exposures.

Investment decision workflow

- Manage investment approvals and set funding limits
- Link analysts to companies and deals
- Upload data from proprietary models
- Initiate trade orders and manage the fills
- Look back at what deals were passed on along with the rationale and supporting data points

Credit analysis

- Configure customized dashboards by specifying the most relevant fields
- Commingle qualitative and quantitative data into a single view
- Analyze and compare company and industry trends
- Filter and sort on key metrics
- Monitor pipeline deals and current investments

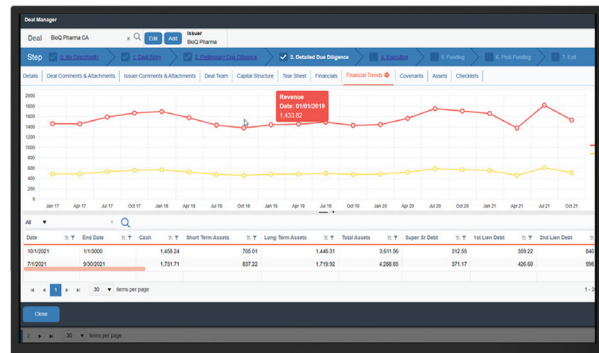
Financial Tracking

- Capture company financials and industry credit data
- Store unlimited time series data within the data warehouse
- Track pertinent ratios, capital structure, and sources and uses data
- Define custom columns and calculations
- Store actual and pro forma financials
- Customize multiple financial sets – i.e., different data sets per industry

Data maintenance

- Upload and organize metrics
- Import financial statements with minimal steps
- Leverage the diagnostic tools to identify missing and stale data

- Manage your investment pipeline and approval workflows
- Centralize communication, collaboration and information exchange
- Analyze trends and comparable across companies and industries
- Keep and monitor financials for use in analysis and reporting



Track key statistics of a deal as it moves through the pipeline

View

- View data at the Issuer, Deal, and Asset level
- Integrated with the standard data model to pull in maturity date, industry, marks, and more
- Create financial summaries tailored to each company and industry

Commentary

- Memorialize intra-company dialog and commentary – Rich Text Format compatible including:
 - Analyst credit commentary
 - Industry commentary
 - Investment rationale

Document management

- Centralize document management and upload attachments or link to network shares
- Attach documents, memoranda, financial summaries that support the decision-making process
- Associate documents to commentary

CRM

- Track contacts, tasks and events
- View in a calendar or list view

Reporting

- Generate push button Tear Sheets
- Build your own reports using the Sentry Report Builder

Triggers

- Set triggers to alert based on user-defined logic

Process management

- Audit system and user activities
- Field level permissions

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