

Customer Identification Form

This document must be completed by all account holders and payees



To help the government fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we need to capture certain information that allows us to verify your identity. The following information is required for all individuals who will be the registered owner or co-owner of an account or will be signing on behalf of a legal entity that will own the account.

The information you provide will be held strictly confidential. For more information, see the attached Privacy Policy.

Account holder Information

Account Holder's Full Legal Name _____

Residential/street address (PO Box NOT accepted. APO/FPO military address IS acceptable) _____

Address Line 2 _____

Daytime Phone Number _____

City/State/ZIP _____

E-Mail Address _____

Date of Birth _____

Social Security/ ITIN/ US Taxpayer ID No. _____

Check one: US Citizen Non-US Citizen residing in the US (Country of origin): _____ Nonresident Alien (Country): _____

Identification Documents Required for all account holders.

Please make a CLEAR, READABLE copy of each required document and attach it as a separate page. (Enlarge to full page size if necessary. May need to be lightened or image adjusted). If copies are not readable, this process may be delayed

Primary Form of ID*—must include account owner's name and photo. Check only one:

Driver's License (state _____) State ID Card (state _____) Military ID Card
Passport (Country _____) Permanent Resident Card Other form of ID: _____

Number: _____ Issued date: _____ Expiration Date: _____

*Note: You must provide information from a secondary form of ID below, ONLY IF:

- You are not a US citizen
- Primary ID listed above is expired
- Your residence address is different from the address on Primary ID, or from our account records
- You are requesting distribution to be sent to an address other than a receiving financial institution, or your residential address, above.

Secondary Form of ID—must include account owner's name and current address.

Check one or more:

Non-US Driver's License or Government-issued ID Residential utility service bill Paycheck Stub
Student/organization/employer ID Home/Auto/Renter Insurance documentation W2 or other tax document
Bank/Investment/Loan Statement Voter registration card Other: _____

Number: _____ Issued By: _____ Issued date: _____ Expiration Date: _____

Name-Change Document—must show both old and new legal names.

Will be required if your legal name has changed due to marriage, divorce or other legal action.

Check one:

Marriage certificate or license Divorce decree or settlement Other: _____

Signature Under penalty of perjury, I attest that the information above and on any attached documents reflects my true legal identity and is not intended for any fraudulent, illegal or deceptive purposes.

Signature _____

Date _____

FACTS	WHAT DOES MATRIX TRUST COMPANY DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security number, name, address, email address • Share holding amounts <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>	
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Matrix Trust Company chooses to share; and whether you can limit this sharing.	
REASONS WE CAN SHARE YOUR PERSONAL INFORMATION	DOES MATRIX SHARE?	CAN YOU LIMIT THIS SHARING?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We don't share
For Joint Marketing with other financial companies	No	We don't share
For our Affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our Affiliates to market to you	No	We don't share
For Non-affiliates to market to you	No	We don't share

What we do	
Does Matrix Trust Company protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Matrix Trust Company collect my personal information?	We collect your personal information, for example, when <ul style="list-style-type: none"> You or your agent sends us information through applications you complete for our products or services or other forms Your agent sends us information about you or your transactions with us, our Affiliates or with unaffiliated third parties
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> sharing for Affiliates' everyday business purposes—information about your creditworthiness Affiliates from using your information to market to you Sharing for Non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definition	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> Our affiliates typically include companies with a "Broadridge" or "Matrix" name; such as Broadridge Financial Solutions, Inc. and Matrix Financial Solutions, Inc.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> Matrix Trust Company does not jointly market.
Questions? Call 888-947-3472 or go to http://matrix.broadridge.com/	